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Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

**2008**

**Open to Public Inspection**

**A For the 2008 calendar year, or tax year beginning 07-01-2008 and ending 06-30-2009**

**B** Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Termination
- ☐ Amended return
- ☐ Application pending

**Please use IRS label or print or type. See Specific Instructions.**

**C** Name of organization
American Public Works Association

Doing Business As
APWA

Number and street (or P O box if mail is not delivered to street address) | Room/suite
2345 Grand Boulevard Suite 700

City or town, state or country, and ZIP + 4
Kansas City, MO 64108

**D Employer identification number**
36-2202880

**E Telephone number**
(816) 472-6100

**G Gross receipts** $ 20,326,564

**F** Name and address of Principal Officer
Peter B King
1401 K Street NW 11th Flr
Washington, DC 20005

**H(a)** Is this a group return for affiliates? ☐ Yes ☑ No

**H(b)** **Are all affiliates included?** ☐ Yes ☐ No
(If "No," attach a list See instructions )

**H(c)** Group Exemption Number ▶

**I** Tax-exempt status ☑ 501(c) ( 3 ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

**J Web site: ▶** WWW APWA NET

**K** Type of organization ☑ Corporation ☐ trust ☐ association ☐ other ▶

**L** Year of Formation 1937

**M** State of legal domicile IL

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities

THE AMERICAN PUBLIC WORKS ASSOCIATION EXISTS TO DEVELOP AND SUPPORT THE PEOPLE, AGENCIES, AND ORGANIZATIONS THAT PLAN, BUILD, MAINTAIN, AND IMPROVE OUR COMMUNITIES

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 17 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 15 |
| 5 | Total number of employees (Part V, line 2a) | 5 | 72 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 29,263 |
| 7a | Total gross unrelated business revenue from Part VIII, line 12, column (C) | 7a | 1,201,396 |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 102,545 |

| | | | Prior Year | Current Year |
|---|---|---|---|---|
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | 1,025,567 | 402,925 |
| | 9 | Program service revenue (Part VIII, line 2g) | 17,716,655 | 15,817,077 |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 267,324 | 298,745 |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 1,303,477 | 1,623,707 |
| | 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 20,313,023 | 18,142,454 |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3) | 255,140 | 281,942 |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | | 0 |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 4,149,484 | 4,354,926 |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | | 0 |
| | b | (Total fundraising expenses, Part IX, column (D), line 25 12,967 ) | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f) | 14,710,538 | 13,396,038 |
| | 18 | Total expenses—add lines 13–17 (must equal Part IX, line 25, column (A)) | 19,115,162 | 18,032,906 |
| | 19 | Revenue less expenses Subtract line 18 from line 12 | 1,197,861 | 109,548 |
| | | | **Beginning of Year** | **End of Year** |
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) | 19,039,736 | 17,390,367 |
| | 21 | Total liabilities (Part X, line 26) | 6,127,341 | 5,062,157 |
| | 22 | Net assets or fund balances Subtract line 21 from line 20 | 12,912,395 | 12,328,210 |

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Please Sign Here**

****** | 2010-05-15
Signature of officer | Date

PETER B KING EXEC DIRECTOR/CEO/SECRETARY
Type or print name and title

**Paid Preparer's Use Only**

Preparer's signature ▶ Michael J Engle | Date | Check if self-employed ▶ ☐ | Preparer's PTIN (See Gen Inst )

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ BKD LLP
120 West 12th Street Suite 1200
Kansas City, MO 641051936

EIN ▶

Phone no ▶ (816) 221-6300

May the IRS discuss this return with the preparer shown above? (See instructions) ☑ Yes ☐ No

**Part III** **Statement of Program Service Accomplishments** (See the instructions.)

1 Briefly describe the organization's mission

THE AMERICAN PUBLIC WORKS ASSOCIATION EXISTS TO DEVELOP AND SUPPORT THE PEOPLE, AGENCIES, AND ORGANIZATIONS THAT PLAN, BUILD, MAINTAIN, AND IMPROVE OUR COMMUNITIES WORKING TOGETHER, APWA AND ITS MEMBERSHIP CONTRIBUTE TO A HIGHER AND SUSTAINABLE QULAITY OF LIFE

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . . . . . . . . . . . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting or make significant changes in how it conducts any program services? . . . . . . . . . . . . . . . . . . . . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses $ 2,122,338 including grants of $ 0 ) (Revenue $ 3,437,017 )

2008 CONGRESS & EXPO- EDUCATIONAL CONFERENCE, ANNUAL BUSINESS MEETING AND TRADE SHOW EXHIBIT FOR PUBLIC WORKS PROFESSIONALS THIS EVENT BRINGS MORE THAN 5,000 PUBLIC WORKS AND INDUSTRY-RELATED PROFESSIONALS TOGETHER IN ONE FORUM WITH MORE THAN 200 EDUCATIONAL SESSIONS, 90,000 SQFT EXHIBIT FLOOR SHOWCASING NEW PRODUCTS AND SERVICES AVAILABLE TO OUR PUBLIC WORKS SECTOR THIS MEETING ALSO SERVES AS OUR ANNUAL BUSINESS MEETING FOR OUR VOLUNTEER BOARD OF DIRECTORS

**4b** (Code ) (Expenses $ 729,704 including grants of $ 0 ) (Revenue $ 633,000 )

REPORTER MAGAZINE - MONTHLY MAGAZINE PROVIDED TO OUR MEMBERSHIP ARTICLES CONTAIN INFORMATION ON MANAGEMENT TECHNIQUES, RESEARCH, TRAINING PROGRAMS, AND NEW TECHNOLOGY

**4c** (Code ) (Expenses $ 581,717 including grants of $ 0 ) (Revenue $ 0 )

FEDERAL AFFAIRS - PROVIDES THE PUBLIC WORKS PROFESSIONAL WITH THE TOOLS AND RESOURCES NECESSARY TO KEEP UP TO DATE ON FEDERAL AND STATE LEGISLATION AND REGULATION IMPACTING THE PUBLIC WORKS AGENCIES AND THE COMMUNITIES IN WHICH THEY SERVE

**4d** Other program services (Describe in Schedule O )

(Expenses $ 10,976,795 including grants of $ 281,942 ) (Revenue $ 13,302,538 )

**4e** Total program service expenses $ 14,410,554 *Must equal Part IX, Line 25, column (B).*

**Part IV Checklist of Required Schedules**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | Yes | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors? | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | No |
| 4 | Section 501(c)(3) organizations Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II* | 4 | Yes | |
| 5 | Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III* | 5 | | |
| 6 | Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | No |
| 9 | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | No |
| 10 | Did the organization hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | Yes | |
| 11 | Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? *If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable* | 11 | Yes | |
| 12 | Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? *If "Yes," complete Schedule D, Parts XI, XII, and XIII* | 12 | Yes | |
| 13 | Is the organization a school as described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the U S ? | 14a | | No |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? *If "Yes," complete Schedule F, Part I* | 14b | Yes | |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II* | 15 | | No |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III* | 16 | | No |
| 17 | Did the organization report more than $15,000 on Part IX, column (A), line 11e? *If "Yes," complete Schedule G, Part I* | 17 | | No |
| 18 | Did the organization report more than $15,000 total on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | Yes | |
| 19 | Did the organization report more than $15,000 on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | No |
| 20 | Did the organization operate one or more hospitals? *If "Yes," complete Schedule H* | 20 | | No |
| 21 | Did the organization report more than $5,000 on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | 21 | | No |
| 22 | Did the organization report more than $5,000 on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | 22 | Yes | |
| 23 | Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? *If "Yes," complete Schedule J* | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to question 25* | 24a | | No |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | 25a | | No |
| b | Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? *If "Yes," complete Schedule L, Part I* | 25b | | No |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II* | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III* | 27 | | No |

## Part IV Checklist of Required Schedules *(Continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **28** | During the tax year, did any person who is a current or former officer, director, trustee, or key employee | | | |
| **a** | Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? *If "Yes," complete Schedule L, Part IV* | **28a** | | No |
| **b** | Have a family member who had a direct or indirect business relationship with the organization? *If "Yes," complete Schedule L, Part IV* | **28b** | | No |
| **c** | Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? *If "Yes," complete Schedule L, Part IV* | **28c** | | No |
| **29** | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | **29** | | No |
| **30** | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | **30** | | No |
| **31** | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | **31** | | No |
| **32** | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | **32** | | No |
| **33** | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | **33** | | No |
| **34** | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1* | **34** | | No |
| **35** | Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | **35** | | No |
| **36** | 501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | **36** | | No |
| **37** | Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | **37** | | No |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0-* if not applicable | 1a | 121 | | | |
| b | Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable | 1b | 0 | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | 1c | Yes | |
| 2a | Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return | 2a | 72 | | | |
| b | If at least one is reported in 2a, did the organization file all required federal employment tax returns? **Note:** *If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return.* | | | 2b | Yes | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return? | | | 3a | Yes | |
| b | If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O* | | | 3b | Yes | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | 4a | Yes | |
| b | If "Yes," enter the name of the foreign country CA<br>See the instructions for exceptions and filing requirements for **Form TD F 90-22.1,** *Report of Foreign Bank and Financial Accounts.* | | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | 5a | | No |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | | No |
| c | If "Yes," to 5a or 5b, did the organization file Form 8886-T, *Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?* | | | 5c | | |
| 6a | Did the organization solicit any contributions that were not tax deductible? | | | 6a | | No |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | 6b | | |
| 7 | *Organizations that may receive deductible contributions under section 170(c).* | | | | | |
| a | Did the organization provide goods or services in exchange for any quid pro quo contribution of $75 or more? | | | 7a | Yes | |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | 7b | Yes | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | 7c | | No |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | | | |
| e | Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | 7e | | No |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7f | | No |
| g | For all contributions of qualified intellectual property, did the organization file Form 8899 as required? | | | 7g | | |
| h | For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? | | | 7h | | |
| 8 | *Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.* Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | 8 | | |
| 9 | *Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.* | | | | | |
| a | Did the organization make any taxable distributions under section 4966? | | | 9a | | |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | | | 9b | | |
| 10 | *Section 501(c)(7) organizations.* Enter | | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | | |
| 11 | Section 501(c)(12) organizations Enter | | | | | |
| a | Gross income from members or shareholders | 11a | | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) | 11b | | | | |
| 12a | *Section 4947(a)(1) non-exempt charitable trusts.* Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | | |

**Part VI** **Governance, Management, and Disclosure** *(Sections A, B, and C request information about policies not required by the Internal Revenue Code.)*

## Section A. Governing Body and Management

*For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

| | | | Yes | No |
|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body . . . 1a 17 | | | |
| b | Enter the number of voting members that are independent . . . 1b 15 | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | 3 | | No |
| 4 | Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? | 4 | | No |
| 5 | Did the organization become aware during the year of a material diversion of the organization's assets? | 5 | | No |
| 6 | Does the organization have members or stockholders? | 6 | Yes | |
| 7a | Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? | 7a | Yes | |
| b | Are any decisions of the governing body subject to approval by members, stockholders, or other persons? | 7b | Yes | |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | |
| a | the governing body? | 8a | Yes | |
| b | each committee with authority to act on behalf of the governing body? | 8b | Yes | |
| 9a | Does the organization have local chapters, branches, or affiliates? | 9a | Yes | |
| b | If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? | 9b | Yes | |
| 10 | Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990 | 10 | Yes | |
| 11 | Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | 11 | | No |

## Section B. Policies

| | | | Yes | No |
|---|---|---|---|---|
| 12a | Does the organization have a written conflict of interest policy? *If "No", go to line 13* | 12a | Yes | |
| b | Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Yes | |
| c | Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done | 12c | Yes | |
| 13 | Does the organization have a written whistleblower policy? | 13 | | No |
| 14 | Does the organization have a written document retention and destruction policy? | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision | | | |
| a | The organization's CEO, Executive Director, or top management official? | 15a | Yes | |
| b | Other officers or key employees of the organization? | 15b | Yes | |
| | Describe the process in Schedule O | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | No |
| b | If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

## Section C. Disclosure

**17** List the States with which a copy of this Form 990 is required to be filed CA , DC , IL , MO , OR

**18** Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ own website ☑ another's website ☑ upon request

**19** Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

**20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization

TERI NEWHOUSE
2345 GRAND BLVD STE 700
KANSAS CITY, MO 64108
(816) 595-5277

**Part VII** **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

## Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed Use Schedule J-2 if additional space is needed

* List all of the organization's **current** officers, directors, trustees (whether individuals or organizations) and key employees regardless of amount of compensation, and current key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid

* List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations

* List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations

* List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any officer, director, trustee or key employee

| **(A)** Name and Title | **(B)** Average hours per week | **(C)** Position (check all that apply) Individual Trustee or Director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | **(D)** Reportable compensation from the organization (W-2/1099MISC) | **(E)** Reportable compensation from related organizations (W- 2/1099-MISC) | **(F)** Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | |
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**Part VII Continued**

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply): Individual Trustee or Director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | |
| **1b Total** | | | | | | | | 848,368 | 0 | 120,108 |

**2** Total number of individuals (including those in 1a) who received more than $100,000 in reportable compensation from the organization ▶5

| | | Yes | No |
|---|---|---|---|
| **3** Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* | 3 | | No |
| **4** For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* | 4 | Yes | |
| **5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person* | 5 | | No |

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| STEWART TRANSPORTATION<br>2814 COLUMBINE PLACE SUITE 100<br>NASHVILLE, TN 37204 | BUS SERVICE | 101,470 |
| MOHANNA ASSOCIATES INC<br>305 W SPRING CREEK PKWY BLDG C ST<br>PLANO, TX 70523 | AD SALES MANAGEMENT | 149,837 |
| NATIONAL TRADE PRODUCTIONS<br>313 SOUTH PATRICK STREET<br>ALEXANDRIA, VA 22314 | EXHIBIT SALES MGMT | 263,215 |
| GES<br>7050 LINDELL AVENUE<br>LAS VEGAS, NV 89118 | EXHIBIT SETUP | 126,246 |
| | | |

| | |
|---|---|
| **2** Total number of independent contractors (including those in 1) who received more than $100,000 in compensation from the organization ▶ | 4 |

**Part VIII** **Statement of Revenue**

| | | | | (A) Total Revenue | (B) Related or Exempt Function Revenue | (C) Unrelated Business Revenue | (D) Revenue Excluded from Tax under IRC 512, 513, or 514 |
|---|---|---|---|---|---|---|---|
| Contributions, gifts, grants and other similar amounts | 1a | Federated campaigns . . . 1a | | | | | |
| | b | Membership dues . . . . . 1b | | | | | |
| | c | Fundraising events . . . . 1c | 55,402 | | | | |
| | d | Related organizations . . . 1d | | | | | |
| | e | Government grants (contributions) 1e | | | | | |
| | f | All other contributions, gifts, grants, and similar amounts not included above 1f | 347,523 | | | | |
| | g | Noncash contributions included in lines 1a-1f $ | | | | | |
| | h | **Total (Add lines 1a-1f)** . . . . . . . . ▶ | | 402,925 | | | |
| Program Service Revenue | | | Business Code | | | | |
| | 2a | EXHIBIT SPACE SHOW | 230,000 | 3,412,242 | 3,412,242 | | |
| | b | TECHNICAL PUBL | 230,000 | 965,105 | 965,105 | | |
| | c | REGISTRATION FEES | 230,000 | 6,787,240 | 6,787,240 | | |
| | d | MEMBERSHIP DUES | 230,000 | 3,709,387 | 3,709,387 | | |
| | e | SPONSORSHIP/REBATE INCOME | 900,099 | 943,103 | 943,103 | | |
| | f | All other program service revenue | | | | | |
| | g | **Total. Add lines 2a-2f** . . . . . . . . . ▶ $ 15,817,077 | | | | | |
| Other Revenue | 3 | Investment income (including dividends, interest other similar amounts) . . . . . . . . ▶ | | 295,391 | | | 295,391 |
| | 4 | Income from investment of tax-exempt bond proceeds . . ▶ | | 0 | | | |
| | 5 | Royalties . . . . . . . . . . . . . ▶ | | 19,141 | | | 19,141 |
| | | | (i) Real / (ii) Personal | | | | |
| | 6a | Gross Rents | | | | | |
| | b | Less rental expenses | | | | | |
| | c | Rental income or (loss) | | | | | |
| | d | Net rental income or (loss) . . . . . . . ▶ | | | | | |
| | | | (i) Securities / (ii) Other | | | | |
| | 7a | Gross amount from sales of assets other than inventory | (i) 1,657,124 | | | | |
| | b | Less cost or other basis and sales expenses | (i) 1,653,770 | | | | |
| | c | Gain or (loss) | (i) 3,354 | | | | |
| | d | Net gain or (loss) . . . . . . . . . . ▶ | | 3,354 | | | 3,354 |
| | 8a | Gross income from fundraising events (not including $ 579,428 of contributions reported on line 1c) See Part IV, line 18 *Attach Schedule G if total exceeds $15,000* . . . . . . . a | 55,402 | | | | |
| | b | Less direct expenses . . . b | 530,340 | | | | |
| | c | Net income or (loss) from fundraising events . . ▶ | | 49,088 | | | 49,088 |
| | 9a | Gross income from gaming activities See part IV, line 19 *Complete Schedule G if total exceeds $15,000* a | | | | | |
| | b | Less direct expenses . . . b | | | | | |
| | c | Net income or (loss) from gaming activities . . . ▶ | | 0 | | | |
| | 10a | Gross sales of inventory, less returns and allowances . a | | | | | |
| | b | Less cost of goods sold . . b | | | | | |
| | c | Net income or (loss) from sales of inventory . . ▶ | | 0 | | | |
| | | Miscellaneous Revenue | Business Code | | | | |
| | 11a | CHAPTER ADV | 541,800 | 113,132 | | 113,132 | |
| | b | MISC INCOME | 900,099 | 354,082 | 354,082 | | |
| | c | ADVERTISING INCOME | 541,800 | 1,088,264 | | 1,088,264 | |
| | d | All other revenue | | | | | |
| | e | **Total. Add lines 11a-11d** . . . . . . . . $ 1,555,478 | | | | | |
| | 12 | **Total Revenue.** Add lines 1h, 2g, 3, 4, 5, 6d, 7d, 8c, 9c, 10c, and 11e . . . . . . ▶ | | 18,142,454 | 16,171,159 | 1,201,396 | 366,974 |

## Part IX Statement of Functional Expenses

**Section 501(c)(3) and 501(c)(4) organizations must complete all columns.**
**All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).**

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|---|
| 1 | Grants and other assistance to governments and organizations in the U S See Part IV, line 21 | 0 | | | |
| 2 | Grants and other assistance to individuals in the U S See Part IV, line 22 | 277,942 | 277,942 | | |
| 3 | Grants and other assistance to governments, organizations and individuals outside the U S See Part IV, lines 15 and 16 | 4,000 | 4,000 | | |
| 4 | Benefits paid to or for members | 0 | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees . . . . | 586,141 | 308,844 | 277,297 | |
| 6 | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . | 0 | | | |
| 7 | Other salaries and wages | 3,015,029 | 1,711,367 | | 10,374 |
| 8 | Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . | 88,589 | 50,284 | 38,000 | 305 |
| 9 | Other employee benefits . . . . . . . . | 439,040 | 249,205 | 188,325 | 1,510 |
| 10 | Payroll taxes . . . . . . . . . . . . . | 226,127 | 128,352 | 96,997 | 778 |
| 11 | Fees for services (non-employees) | | | | |
| a | Management . . . . . . | 0 | | | |
| b | Legal . . . . . . . . . . | 0 | | | |
| c | Accounting . . . . . . . . . . . . | 0 | | | |
| d | Lobbying . . . . . . . . . . . . | 546,305 | 546,305 | | |
| e | Professional fundraising *See Part IV, line 17* . . | 0 | | | |
| f | Investment management fees . . . . . . | 0 | | | |
| g | Other . . . . . . . . . . . | 1,387,449 | 1,122,124 | 265,325 | |
| 12 | Advertising and promotion . . . . | 59,882 | 59,882 | | |
| 13 | Office expenses . . . . . . . | 5,459,688 | 4,906,542 | 553,146 | |
| 14 | Information technology . . . . . . | 0 | | | |
| 15 | Royalties . . | 0 | | | |
| 16 | Occupancy . . . . . . . . . . . . | 2,643,526 | 2,317,831 | 325,695 | |
| 17 | Travel . . . . . . . . . . . . . | 933,474 | 645,503 | 287,971 | |
| 18 | Payments of travel or entertainment expenses for any Federal, state or local public officials . . . . . . | 0 | | | |
| 19 | Conferences, conventions and meetings . . . . | 1,169,979 | 1,071,935 | 98,044 | |
| 20 | Interest . . . . . . . . . . . . | 0 | | | |
| 21 | Payments to affiliates . . . . . . . | 0 | | | |
| 22 | Depreciation, depletion, and amortization . . . . . | 287,477 | 132,289 | 155,188 | |
| 23 | Insurance . . . . . . . . . . . . . . | 0 | | | |
| 24 | Other expenses—Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below ) | | | | |
| a | CHAPTER REBATES | 340,265 | 340,265 | | |
| b | INCOME TAXES | 30,109 | | 30,109 | |
| c | AWARDS, FELLOWSHIPS, PRIZES | 537,884 | 537,884 | | |
| f | All other expenses | | | | |
| 25 | **Total functional expenses.** Add lines 1 through 24f | 18,032,906 | 14,410,554 | 3,609,385 | 12,967 |
| 26 | **Joint Costs.** Check ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | | | | |

## Part X Balance Sheet

| | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | | 1 | |
| | 2 | Savings and temporary cash investments | | 13,508,242 | 2 | 12,514,357 |
| | 3 | Pledges and grants receivable, net | | 283,936 | 3 | 0 |
| | 4 | Accounts receivable, net | | 126,191 | 4 | 138,263 |
| | 5 | Receivables from current and former officers, directors, trustees, key employees or other related parties *Complete Part II of Schedule L* | | | 5 | |
| | 6 | Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) *Complete Part II of Schedule L* | | | 6 | |
| | 7 | Notes and loans receivable, net | | | 7 | |
| | 8 | Inventories for sale or use | | 265,309 | 8 | 345,699 |
| | 9 | Prepaid expenses and deferred charges | | 526,135 | 9 | 526,135 |
| | 10a | Land, buildings, and equipment cost basis | 10a 2,643,159 | | | |
| | b | Less accumulated depreciation *Complete Part VI of Schedule D* | 10b 1,634,668 | 1,120,009 | 10c | 1,008,491 |
| | 11 | Investments—publicly traded securities | | 2,914,292 | 11 | 2,836,496 |
| | 12 | Investments—other securities See Part IV, line 11 *Complete Part VII of Schedule D* | | | 12 | |
| | 13 | Investments—program-related See Part IV, line 11 *Complete Part VIII of Schedule D* | | | 13 | |
| | 14 | Intangible assets | | | 14 | |
| | 15 | Other assets See Part IV, line 11 *Complete Part IX of Schedule D* | | 295,622 | 15 | 20,926 |
| | 16 | **Total assets.** *Add lines 1 through 15 (must equal line 34)* | | 19,039,736 | 16 | 17,390,367 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 765,413 | 17 | 551,365 |
| | 18 | Grants payable | | | 18 | |
| | 19 | Deferred revenue | | 5,336,239 | 19 | 4,549,792 |
| | 20 | Tax-exempt bond liabilities | | | 20 | |
| | 21 | Escrow account liability *Complete Part IV of Schedule D* | | | 21 | |
| | 22 | Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L* | | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | | 23 | |
| | 24 | Unsecured notes and loans payable | | | 24 | |
| | 25 | Other liabilities *Complete Part X of Schedule D* | | 25,689 | 25 | -39,000 |
| | 26 | **Total liabilities.** *Add lines 17 through 25* | | 6,127,341 | 26 | 5,062,157 |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.** | | | | |
| | 27 | Unrestricted net assets | | 12,743,593 | 27 | 11,672,354 |
| | 28 | Temporarily restricted net assets | | 112,850 | 28 | 593,194 |
| | 29 | Permanently restricted net assets | | 55,952 | 29 | 62,662 |
| | | **Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.** | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| | 33 | Total net assets or fund balances | | 12,912,395 | 33 | 12,328,210 |
| | 34 | Total liabilities and net assets/fund balances | | 19,039,736 | 34 | 17,390,367 |

## Part XI Financial Statements and Reporting

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 ☐ cash ☑ accrual ☐ other | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | No |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | Yes | |
| c | If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | Yes | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | | No |
| b | If "Yes," did the organization undergo the required audit or audits? | 3b | | |

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**SCHEDULE A**
**(Form 990 or 990EZ)**

Department of the Treasury
Internal Revenue Service

# Public Charity Status and Public Support

**To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.**
**Attach to Form 990 or Form 990-EZ. See separate instructions.**

OMB No 1545-0047

**2008**

**Open to Public Inspection**

**Name of the organization**
American Public Works Association

**Employer identification number**
36-2202880

## Part I Reason for Public Charity Status (to be completed by all organizations) (See Instructions)

The organization is not a private foundation because it is (Please check only **one** organization )

1 ☐ A church, convention of churches, or association of churches described in **Section 170(b)(1)(A)(i).**

2 ☐ A school described in **Section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **Section 170(b)(1)(A)(iii).** (Attach Schedule H )

4 ☐ A medical research organization operated in conjunction with a hospital described in **Section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **Section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **Section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **Section 170(b)(1)(A)(vi)** (Complete Part II )

8 ☐ A community trust described in **Section 170(b)(1)(A)(vi)** (Complete Part II )

9 ☑ An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **Section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **Section 509(a)(4).** (See instructions )

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **Section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

**a** ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally Integrated **d** ☐ Type III - Other

**e** ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

**f** If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

**g** Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| **(i)** a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization? | **11g(i)** | | |
| **(ii)** a family member of a person described in (i) above? | **11g(ii)** | | |
| **(iii)** a 35% controlled entity of a person described in (i) or (ii) above? | **11g(iii)** | | |

**h** Provide the following information about the organizations the organization supports

| **(i)** Name of Supported Organization | **(ii)** EIN | **(iii)** Type of organization (described on lines 1- 9 above or IRC section **(See Instructions)**) | **(iv)** Is the organization in col **(i)** listed in your governing document? | | **(v)** Did you notify the organization in col **(i)** of your support? | | **(vi)** Is the organization in col **(i)** organized in the U S ? | | **(vii)** Amount of support? |
|---|---|---|---|---|---|---|---|---|---|
| | | | **Yes** | **No** | **Yes** | **No** | **Yes** | **No** | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| **Total** | | | | | | | | | |

**Part II** **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

## Public Support

| **Calendar year** (or fiscal year beginning in) | **(a)** 2004 | **(b)** 2005 | **(c)** 2006 | **(d)** 2007 | **(e)** 2008 | **(f)** Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add line 1-3 | | | | | | |
| 5 The portion of total contribution by each person (other than a government unit or publicly supported organization) included on line 1 that exceed 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 **Public Support** subtract line 5 from line 4 | | | | | | |

## Total Support

| **Calendar year** (or fiscal year beginning in) | **(a)** 2004 | **(b)** 2005 | **(c)** 2006 | **(d)** 2007 | **(e)** 2008 | **(f)** Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | | | | | | |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 11 **Total Support** (Add lines 7 through 10) | | | | | | |

12 Gross receipts from related activities, etc (See instructions ) **12**

13 **First Five Years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

## Computation of Public Support Percentage

14 Public Support Percentage for 2008 (line 6 column (f) divided by line 11 column (f)) **14**

15 Public Support Percentage for 2007 Schedule A, Part IV-A, line 26f **15**

16a **33 1/3% Test - 2008.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% Test - 2007.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10% Facts and Circumstances Test - 2008.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10% Facts and Circumstances Test - 2007.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private Foundation.** If the organization did not check the box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶☐

**Part III** **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9,of,Part I. )

## Section A. Public Support

| **Calendar year** (or fiscal year beginning in) | **(a)** 2004 | **(b)** 2005 | **(c)** 2006 | **(d)** 2007 | **(e)** 2008 | **(f)** Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | 4,214,930 | 4,043,435 | 4,304,944 | 4,315,590 | 4,112,312 | 20,991,211 |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | 10,562,981 | 12,171,808 | 13,128,649 | 14,426,632 | 11,164,587 | 61,454,657 |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total** Add lines 1-5 | 14,777,911 | 16,215,243 | 17,433,593 | 18,742,222 | 15,276,899 | 82,445,868 |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or $5,000 | | | | | | |
| c Total of lines 7a and 7b | | | | | | |
| 8 **Public Support** (Substract line 7c from line 6) | | | | | | 82,445,868 |

## Total Support

| **Calendar year** (or fiscal year beginning in) | **(a)** 2004 | **(b)** 2005 | **(c)** 2006 | **(d)** 2007 | **(e)** 2008 | **(f)** Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | 14,777,911 | 16,215,243 | 17,433,593 | 18,742,222 | 15,276,899 | 82,445,868 |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 944,229 | 1,214,959 | 1,443,506 | 267,324 | 314,532 | 4,184,550 |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 | | | | | | |
| c Add lines 10a and 10b | 944,229 | 1,214,959 | 1,443,506 | 267,324 | 314,532 | 4,184,550 |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | 267,814 | 102,545 | 370,359 |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | 77,281 | 61,645 | 112,589 | 55,803 | 354,082 | 661,400 |
| 13 **Total Support** (Add lines 9, 10c, 11 and 12) | | | | | | 87,662,177 |

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ☐

## Computation of Public Support Percentage

| | | | |
|---|---|---|---|
| 15 | Public Support Percentage for 2008 (line 8 column (f) divided by line 13 column (f)) | 15 | 94 049 % |
| 16 | Public Support Percentage for 2007 Schedule A, Part IV-A, line 27g | 16 | 92 887 % |

## Computation of Investment Income Percentage

| | | | |
|---|---|---|---|
| 17 | Investment Income Percentage for **2008** (line 10c column (f) divided by line 13 column (f)) | 17 | 4 774 % |
| 18 | Investment Income Percentage from **2007** Schedule A, Part IV-A, line 27h | 18 | 6 574 % |

19a **33 1/3% Tests - 2008.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☑

b **33 1/3% Tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ☐

**Part II** **Supplemental Information.** Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)

| Facts and Circumstances Test |
| --- |
| |

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**SCHEDULE C**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Political Campaign and Lobbying Activities

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

**To be completed by organizations described below. Attach to Form 990 or Form 990-EZ**

OMB No 1545-0047

**2008**

**Open to Public Inspection**

**If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities)**
- Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations complete Part I-A only

**If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities)**
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

**If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax)**
- Section 501(c)(4), (5), or (6) organizations complete Part III

| Name of the organization | Employer identification number |
|---|---|
| American Public Works Association | 36-2202880 |

**Part I-A** **To be completed by all organizations exempt under section 501(c) and section 527 organizations.** (See the instructions for Schedule C for details.)

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures $ ____

3 Volunteer hours ____

**Part I-B** **To be completed by all organizations exempt under section 501(c)(3).** (See the instructions for Schedule C for details.)

1 Enter the amount of any excise tax incurred by the organization under section 4955 $ ____

2 Enter the amount of any excise tax incurred by organization managers under section 4955 $ ____

3 If the organization incurred in a section 4955 tax, did it file Form 4720 for this year? ☐ **Yes** ☐ **No**

4a Was a correction made? ☐ **Yes** ☐ **No**

b If "Yes," describe in Part IV

**Part I-C** **To be completed by all organizations exempt under section 501(c), except section 501(c)(3).** See the instructions for Schedule C for details.

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities $ ____

2 Enter the amount of the filing organization's internal funds contributed to other organizations for section 527 exempt funtion activities $ ____

3 Total of direct and indirect exempt function expenditures Add lines 1 and 2 and enter here and on Form 1120-POL, line 17b $ ____

4 Did the filing organization file **Form 1120-POL** for this year? ☐ **Yes** ☐ **No**

5 State the names, addresses and Employer Identification Number (EIN) of all section 527 political organizations to which payments were made Enter the amount paid and indicate if the amount was paid from the filing organization's own internal funds or were political contributions received and promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

| **(a)** Name | **(b)** Address | **(c)** EIN | **(d)** Amount paid from filing organization's internal funds If none, enter -0- | **(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|---|---|---|---|---|
| | | | | |
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**Part II-A** **To be completed by organizations exempt under section 501(c)(3) that filed Form 5768 (election under section 501(h)).** (See the instructions for Schedule C for details.)

A Check ☐ if the filing organization belongs to an affiliated group
B Check ☐ if the filing organization checked box A and "limited control" provisions apply

| **Limits on Lobbying Expenditures—** (The term "expenditures" means amounts paid or incurred.) | **(a)** Filing Organization's Totals | **(b)** Affiliated Group Totals |
|---|---|---|
| **1a** Total lobbying expenditures to influence public opinion (grass roots lobbying) | | |
| **b** Total lobbying expenditures to influence a legislative body (direct lobbying) | 546,305 | |
| **c** Total lobbying expenditures (add lines 1a and 1b) | 546,305 | |
| **d** Other exempt purpose expenditures | 17,486,601 | |
| **e** Total exempt purpose expenditures (add lines 1c and 1d) | 18,032,906 | |
| **f** Lobbying nontaxable amount Enter the amount from the following table in both columns— | 1,000,000 | |

| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: |
|---|---|
| Not over $500,000 | 20% of the amount on line 1e |
| Over $500,000 but not over $1,000,000 | $100,000 plus 15% of the excess over $500,000 |
| Over $1,000,000 but not over $1,500,000 | $175,000 plus 10% of the excess over $1,000,000 |
| Over $1,500,000 but not over $17,000,000 | $225,000 plus 5% of the excess over $1,500,000 |
| Over $17,000,000 | $1,000,000 |

| | (a) | (b) |
|---|---|---|
| **g** Grassroots nontaxable amount (enter 25% of line 1f) | 250,000 | |
| **h** Subtract line 1g from line 1a Enter -0- if line g is more than line a | | |
| **i** Subtract line 1f from line 1c Enter -0- if line f is more than line c | | |

**j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

## 4-Year Averaging Period Under Section 501(h)
**(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 1a through 1f of the instructions.)**

### Lobbying Expenditures During 4-Year Averaging Period

| Calendar year (or fiscal year beginning in) | **(a)** 2005 | **(b)** 2006 | **(c)** 2007 | **(d)** 2008 | **(e)** Total |
|---|---|---|---|---|---|
| **2a** Lobbying non-taxable amount | 969,856 | 1,000,000 | 678,324 | 1,000,000 | 3,648,180 |
| **b** Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | 5,472,270 |
| **c** Total lobbying expenditures | 378,769 | 474,127 | 474,003 | 546,305 | 1,873,204 |
| **d** Grassroots non-taxable amount | 242,464 | 250,000 | 169,581 | 250,000 | 912,045 |
| **e** Grassroots ceiling amount (150% of line d, column (e)) | | | | | 1,368,068 |
| **f** Grassroots lobbying expenditures | 0 | 0 | 0 | 0 | 0 |

**Part II-A** **To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form 5768 (election under section 501(h)).** (See the instructions for Schedule C for details.)

| | | (a) Yes | (a) No | (b) Amount |
|---|---|---|---|---|
| **1** | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | | | |
| **a** | Volunteers? | | | |
| **b** | Paid staff or management (include compensation in expenses reported on lines c through i)? | | | |
| **c** | Media advertisements? | | | |
| **d** | Mailings to members, legislators, or the public? | | | |
| **e** | Publications, or published or broadcast statements? | | | |
| **f** | Grants to other organizations for lobbying purposes? | | | |
| **g** | Direct contact with legislators, their staffs, government officials, or a legislative body? | | | |
| **h** | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? | | | |
| **i** | Other activities If "Yes," describe in Part IV | | | |
| **j** | Total lines 1c through 1i | | | |
| **2a** | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | | |
| **b** | If "Yes" enter the amount of any tax incurred under section 4912 | | | |
| **c** | If "Yes" enter the amount of any tax incurred by organization managers under section 4912 | | | |
| **d** | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

**Part III-A** **To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).** (See the instructions for Schedule C for details.)

| | | | Yes | No |
|---|---|---|---|---|
| **1** | Were substantially all (90% or more) dues received nondeductible by members? | 1 | | |
| **2** | Did the organization make only in-house lobbying expenditures of $2,000 or less? | 2 | | |
| **3** | Did the organization agree to carryover lobbying and political expenditures from the prior year? | 3 | | |

**Part III-B** **To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes."** (See the instructions for Schedule C for details.)

| | | |
|---|---|---|
| **1** | Dues, assessments and similar amounts from members | 1 $ |
| **2** | Section 162(e) non-deductible lobbying and political expenditures ***(do not include amounts of political expenses for which the section 527(f) tax was paid).*** | |
| **a** | Current Year | 2a $ |
| **b** | Carryover from last year | 2b $ |
| **c** | Total | 2c $ |
| **3** | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 $ |
| **4** | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 $ |
| **5** | Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4) | 5 $ |

**Part IV** **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

| Identifier | Return Reference | Explanation |
|---|---|---|
| LOBBYING EXPENDITURES EXPLANATION | SCHEDULE C, PART II-A | ON SEPTEMBER 25, 1996 THE AMERICAN PUBLIC WORKS ASSOCIATION (APWA) FILED FORM 5768 WITH THE IRS AND ELECTED TO HAVE THE PROVISIONS OF SECTION 501(H) OF THE IRS CODE, RELATING TO EXPENDITURES TO INFLUENCE LEGISLATION, APPLY TO US BEGINNING WITH OUR TAX YEAR ENDING DECEMBER 31, 1997 ON MARCH 14, 2000, APWA REGISTERED PETER KING, EXECUTIVE DIRECTOR, AND JAMES FAHEY, DIRECTOR OF GOVERNMENT AND PUBLIC AFFAIRS, AS LOBBYIST IN ACCORDANCE WITH THE LOBBYING DISCLOSURE ACT OF 1995 APWA REGISTERED JULIA ANASTASIO, SR MANAGER OF GOVERNMENT AFFAIRS WITH THE FILING OF ITS JULY 1 - DECEMBER 31, 2005 SEMIANNUAL REPORT APWA REGISTERED LAURA BERKEY, MANGER OF GOVERNMENT AFFAIRS WITH THE FILING OF ITS JULY 1 - SEPTEMBER 30, 2008 QUARTERLY REPORT APWA HAS INCURRED A TOTAL OF $546,305 IN LOBBYING EXPENDITURES FOR FISCAL YEAR 2009 RELATED TO STAFF SALARIES, TRAVEL, GENERAL OFFICE EXPENSES, PRINTING AND PRODUCTION COSTS, ETC THESE LOBBYING EXPENSES WERE REPORTED TO THE U S HOUSE OF REPRESENTATIVES AND U S SENATE IN ACCORDANCE WITH THE LOBBYING DISCLOSURE ACT OF 1995 DESCRIPTION OF APWA LOBBYING ACTIVITY IN 2009 PRODUCTION AND DISTRIBUTION OF MONTHLY ASSOCIATION NEWSLETTER, APWA WASHINGTON REPORT, ON NATIONAL LEGISLATIVE AND REGULATORY AFFAIRS AFFECTING PUBLIC WORKS INFRASTRUCTURE, PROGRAMS AND SERVICES, RESEARCH, ANALYSIS AND TRACKING OF FEDERAL AND STATE LEGISLATION AND REGULATIONS, LETTERS, CORRESPONDENCE AND PHONE CALLS FROM ASSOCIATION MEMBERS AND ASSOCIATION STAFF TO FEDERAL LEGISLATORS, LEGISLATIVE STAFF AND FEDERAL AGENCY DEPARTMENT OFFICIALS, EXPRESSING ASSOCIATION VIEWS ON PROPOSED LEGISLATION AND REGULATIONS IN THE AREAS OF TRANSPORTATION, WATER INFRASTRUCTURE, WATER QUALITY, ENVIRONMENT, EMERGENCY MANAGEMENT AND PREPAREDNESS, HOMELAND SECURITY, INFRASTRUCTURE PROTECTION, RIGHTS-OF-WAY MANAGEMENT, CLIMATE CHANGE AND SUSTAINABILITY AND OTHER PUBLIC WORKS ISSUES, ISSUANCE OF EMAIL ACTION ALERTS (VIA INFONOW, LEGISLATIVE ACTION CENTER) TO ASSOCIATION MEMBERS REQUESTING THAT THEY CONTACT THEIR CONGRESSIONAL REPRESENTATIVES TO EXPRESS AN ASSOCIATION POSITION ON LEGISLATION AND/OR REGULATIONS, POSTING OF LEGISLATIVE AND REGULATORY INFORMATION, NEWS, ASSOCIATION POSITIONS AND ACTION ALERTS ON ASSOCIATION WEBSITE, DISTRIBUTION OF ASSOCIATION POSITION PAPERS, ISSUE BRIEFS AND TALKING POINTS TO ASSOCIATION LEADERS, CHAPTER LEADERS, MEMBERS AND AT ASSOCIATION AND AT ASSOCIATION CHAPTER MEETINGS, STAFF AND ASSOCIATION MEMBER MEETINGS WITH FEDERAL LEGISLATORS, LEGISLATIVE STAFF AND FEDERAL AGENCY STAFF REGARDING PUBLIC WORKS ISSUES, LEGISLATION AND REGULATIONS, MEMBER BRIEFINGS AND PRESENTATIONS TO CONGRESSIONAL STAFF ON PUBLIC WORKS ISSUES, SUBMISSION OF WRITTEN CONGRESSIONAL TESTIMONY, COALITION MEMBERSHIP, INCLUDING WATER INFRASTRUCTURE NETWORK, SUSTAINABLE WATER INFRASTRUCTURE COALITION, LOCAL OFFICIALS FOR TRANSPORTATION, ROADWAY INFRASTRUCTURE SAFETY COALITION, CONGRESSIONAL HAZARDS ALLIANCE, STAFFORD ACT COALITION, FLOOD MAP MODERNIZATION COALITION, HOMELAND SECURITY CONSORTIUM, WATER RESOURCES COALITION, SUSTAINABLE URBAN FOREST AND OTHERS, ACTIVITIES OF ASSOCIATION TASK FORCE TO PROMOTE ASSOCIATION POLICY POSITION FOR REAUTHORIZATION OF SURFACE TRANSPORTATION LEGISLATION (SAFETEA-LU), INCLUDING DEVELOPMENT OF ADVOCACY TOOLKIT AND VIDEO FOR GRASSROOTS OUTREACH, DISSEMINATION OF TOOLKIT AND VIDEO LEGISLATIVE AND REGULATORY POLICY DEVELOPMENT, PRIORITY-SETTING AND STRATEGIC PLANNING CONDUCTED BY ASSOCIATION COMMITTEE (GOVERNMENT AFFAIRS COMMITTEE), SPRING POLICY FORUM MEETING OF ASSOCIATION MEMBERS (GOVERNMENT AFFAIRS COMMITTEE AND OTHERS) IN WASHINGTON, DC TO ADVOCATE ASSOCIATION PRIORITIES WITH CONGRESS AND CONGRESSIONAL STAFF INDIVIDUAL CHAPTER ADVOCACY ON STATE LEGISLATION, BALLOT INITIATIVES AND/OR REFERENDA " INDIVIDUAL CHAPTER ADVOCACY ON STATE LEGISLATION, BALLOT INITIATIVES AND/OR REFERENDA |
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**Part IV Supplemental Information**

| Identifier | Return Reference | Explanation |
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**SCHEDULE D**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ **Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**

OMB No 1545-0047

**2008**

**Open to Public Inspection**

**Name of the organization**
American Public Works Association

**Employer identification number**
36-2202880

**Part I** **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate Contributions to (during year) | | |
| 3 | Aggregate Grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? ☐ Yes ☐ No

**Part II** **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically importantly land area
☐ Protection of natural habitat
☐ Preservation of certified historic structure
☐ Preservation of open space

2 Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | | | Held at the End of the Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 8/17/06 | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff or volunteer hours devoted to monitoring, inspecting and enforcing easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing easements during the year ▶ $

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

**Part I-B** **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Trust, Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9 or reported an amount on Form 990 Part X, line 21,

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain why in Part XIV and complete the following table

| | | | Amount |
|---|---|---|---|
| c | Beginning balance | 1c | |
| d | Additions during the year | 1d | |
| e | Distributions during the year | 1e | |
| f | Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | | (a) Current Year | (b) Prior Year | (c) Two Years Back | (d) Three Years Back | (e) Four Years Back |
|---|---|---|---|---|---|---|
| 1a | Beginning of year balance | 58,777 | | | | |
| b | Contributions | | | | | |
| c | Investment earnings or losses | 4,257 | | | | |
| d | Grants or scholarships | | | | | |
| e | Other expenditures for facilities and programs | 1,000 | | | | |
| f | Administrative expenses | | | | | |
| g | End of year balance | 62,034 | | | | |

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ 0 %

b Permanent endowment ▶ 90 2 %

c Term endowment ▶ 9 8 %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

| | | | Yes | No |
|---|---|---|---|---|
| | (i) unrelated organizations | 3a(i) | Yes | |
| | (ii) related organizations | 3a(ii) | Yes | |
| b | If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIV the intended uses of the organization's endowment funds

**Part VI Investments—Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

| Description of investment | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Depreciation | (d) Book value |
|---|---|---|---|---|
| 1a Land | | | | |
| b Buildings | | | | |
| c Leasehold improvements | | | | |
| d Equipment | | | | |
| e Other | | 2,643,159 | 1,634,668 | 1,008,491 |
| **Total.** Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶ | | | | 1,008,491 |

**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

| (a) Description of security or cateory (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| Financial derivatives and other financial products | | |
| Closely-held equity interests | | |
| Other | | |
| | | |
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| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 12 )* ▶ | | |

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

| (a) Description of investment type | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| | | |
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| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 13 )* ▶ | | |

**Part IX Other Assets.** See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|---|
| DEPOSITS AND OTHER ASSETS | 20,926 |
| | |
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| **Total.** *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . . . . . . . . . ▶ | |

**Part X Other Liabilities.** See Form 990, Part X, line 25.

| (a) Description of Liability | (b) Amount |
|---|---|
| Federal Income Taxes | -39,000 |
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| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 25 )* ▶ | -39,000 |

In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

**Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements**

| | | | |
|---|---|---|---|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 | 18,142,454 |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 2 | 18,032,906 |
| 3 | Excess or (deficit) for the year Subtract line 2 from line 1 | 3 | 109,548 |
| 4 | Net unrealized gains (losses) on investments | 4 | -307,990 |
| 5 | Donated services and use of facilities | 5 | |
| 6 | Investment expenses | 6 | |
| 7 | Prior period adjustments | 7 | |
| 8 | Other (Describe in Part XIV) | 8 | -436,101 |
| 9 | Total adjustments (net) Add lines 4 - 8 | 9 | -744,091 |
| 10 | Excess or (deficit) for the year per financial statements Combine lines 3 and 9 | 10 | -634,543 |

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 9,978,825 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | | |
| a | Net unrealized gains on investments | 2a | -282,519 | | |
| b | Donated services and use of facilities | 2b | 157,094 | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIV) | 2d | 530,340 | | |
| e | Add lines **2a** through **2d** | | | 2e | 404,915 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 9,573,910 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV) | 4b | 8,568,544 | | |
| c | Add lines **4a** and **4b** | | | 4c | 8,568,544 |
| 5 | Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12 ) | | | 5 | 18,142,454 |

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 10,613,368 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | |
| a | Donated services and use of facilities | 2a | 157,094 | | |
| b | Prior year adjustments | 2b | | | |
| c | Losses reported on Form 990, Part IX, line 25 | 2c | | | |
| d | Other (Describe in Part XIV) | 2d | 892,260 | | |
| e | Add lines **2a** through **2d** | | | 2e | 1,049,354 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 9,564,014 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1:** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV) | 4b | 8,468,892 | | |
| c | Add lines **4a** and **4b** | | | 4c | 8,468,892 |
| 5 | Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18 ) | | | 5 | 18,032,906 |

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part XIV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

| Identifier | Return Reference | Explanation |
|---|---|---|
| INTENDED USE OF ENDOWMENT FUNDS | SCHEDULE D, PART V, LINE 4 | THE ORGANIZATION'S ENDOWMENTS ARE UTILIZED FOR CASH AWARDS AND OTHER PROJECTS TO FURTHER PRESERVE THE HISTORY OF PUBLIC WORKS PROFESSION THROUGH THE APWA PUBLIC WORKS HISTORICAL SOCIETY |
| OTHER CHANGES IN NET ASSETS | SCHEDULE D, PART XI, LINE 8 | CHAPTER INCOME/(EXPENSE) = ($436,101) |
| FIN 48 DISCLOSURE | SCHEDULE D, PART X | IN ACCORDANCE WITH FINANCIAL ACCOUNTING STANDARDS BOARD (FASB) STAFF POSITION NO FIN 48-3, THE ASSOCIATION HAS ELECTED TO DEFER THE EFFECTIVE DATE OF FASB INTERPRETATION NO 48 (FIN 48), ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, UNTIL ITS FISCAL YEAR ENDING JUNE 30, 2010 THE ASSOCIATION HAS CONTINUED TO ACCOUNT FOR ANY UNCERTAIN TAX POSITIONS IN ACCORDANCE WITH LITERATURE THAT WAS AUTHORITATIVE IMMEDIATELY PRIOR TO THE EFFECTIVE DATE OF FIN 48, SUCH AS FASB STATEMENT NO 109, ACCOUNTING FOR INCOME TAXES, AND FASB STATEMENT NO 5, ACCOUNTING FOR CONTINGENCIES AT JUNE 30, 2009, THE ASSOCIATION IS NOT AWARE OF ANY UNCERTAIN TAX POSITIONS |
| OTHER REVENUE RECONCILIATION | SCHEDULE D, PART XII, LINE 2D AND 4B | LINE 2D - SPECIAL EVENT EXPENSES = $530,340 LINE 4B - CHAPTER REVENUES = $8,568,544 |
| OTHER EXPENSE RECONCILIATION | SCHEDULE D, PART XIII, LINE 2D AND 4B | LINE 2D - SPECIAL EVENT EXPENSES = $530,340 UNREALIZED LOSSES = $361,920 ---------- TOTAL = $892,260 LINE 4B - CHAPTER EXPENSES = $8,468,892 |
| | | |
| | | |

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

# Statement of Activities Outside the United States

► Attach to Form 990. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

OMB No 1545-0047

2008

**Open to Public Inspection**

Name of the organization
American Public Works Association

**Employer identification number**
36-2202880

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance . . . . . . . . . . ☑ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

3 Activites per Region (Use Schedule F-1 (Form 990) if additional space is needed )

| **(a)** Region | **(b)** Number of offices in the region | **(c)** Number of employees or agents in region | **(d)** Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | **(e)** If activity listed in (d) is a program service, describe specific type of service(s) in region | **(f)** Total expenditures in region |
|---|---|---|---|---|---|
| North America | 0 | 0 | Program Services | EDUCATION | 946,672 |
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| **Totals** . . . . . . ► | 0 | 0 | | | 946,672 |

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Check this box if no one recipient received more than $5,000 . . . . . . . . ▶ ☑
Use Schedule F-1 if additional space is needed.

| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
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2 Enter total number of organizations that are recognized as charities by the foreign country or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . . . . . . . . . . . . . . . . . . . ▶

3 Enter total number of other organizations or entities . . . . . . . . . . . . . . . . . . . . . . . ▶

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

| **(a)** Type of grant or assistance | **(b)** Region | **(c)** Number of recipients | **(d)** Amount of cash grant | **(e)** Manner of cash disbursement | **(f)** Amount of non-cash assistance | **(g)** Description of non-cash assistance | **(h)** Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
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**Part IV** **Supplemental Information**

Complete this part to provide the information required in Part I, line 2, and any other additional information.

| Identifier | ReturnReference | Explanation |
|---|---|---|
| MONITORING USE OF GRANTS OUTSIDE OF THE UNITED STATES | SCHEDULE F, PART I, LINE 2 | SCHOLARSHIPS AND DONATIONS MADE OUTSIDE THE US ARE PERFORMED BY OUR 8 CANADIAN CHAPTERS THE SAME PROCESS IS IN PLACE FOR ALL CHAPTERS AN APPLICATION IS REQUIRED, AN INDEPENDENT SELECTION COMMITTEE, AWARDS ARE MADE SPECIFIC TO THE PUBLIC WORKS PROFESSION, CANDIDATES EITHER MUST COMPLETE A POST-AWARD SURVEY, PROVIDE TRANSCRIPTS OR CERTIFICATE OF COMPLETION TO SUBSTANTIATE THE AWARD WAS USED APPROPRIATELY |

## Additional Data

Return to Form

**Software ID:**
**Software Version:**
**EIN:** 36-2202880
**Name:** American Public Works Association

**Form 990 Schedule F Part II - Grants and Other Assistance to Organizations or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|

**SCHEDULE G**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information Regarding Fundraising or Gaming Activities

▶ **Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than $15,000 on Form 990-EZ, line 6a.**

OMB No 1545-0047

**2008**

**Open to Public Inspection**

Name of the organization
American Public Works Association

**Employer identification number**
36-2202880

## Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

**1** Indicate whether the organization raised funds through any of the following activities Check all that apply

**a** ☐ Mail solicitations
**b** ☐ Email solicitations
**c** ☐ Phone solicitations
**d** ☐ In-person solicitations
**e** ☐ Solicitation of non-government grants
**f** ☐ Solicitation of government grants
**g** ☐ Special fundraising events

**2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities? ☐ **Yes** ☐ **No**

**b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization Form 990-EZ filers are not required to complete this table

| **(i)** Name of individual or entity (fundraiser) | **(ii)** Activity | **(iii)** Did fundraiser have custody or control of contributions? | | **(iv)** Gross receipts from activity | **(v)** Amount paid to (or retained by) fundraiser listed in col **(i)** | **(vi)** Amount paid to (or retained by) organization |
|---|---|---|---|---|---|---|
| | | **Yes** | **No** | | | |
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| **Total** ▶ | | | | | | |

**3** List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

**Part II** **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

| | | (a) Event #1 GOLF TOURNAMENT (event type) | (b) Event #2 GOLF TOURNAMENT (event type) | (c) Other Events 132 (total number) | (d) Total Events (Add col (a) through col (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross receipts | 123,132 | 37,675 | 474,023 | 634,830 |
| | 2 Less Charitable contributions | 25,000 | | 30,402 | 55,402 |
| | 3 Gross revenue (line 1 minus line 2) | 98,132 | 37,675 | 443,621 | 579,428 |
| Direct Expenses | 4 Cash Prizes | | | 15,202 | 15,202 |
| | 5 Non-cash Prizes | 13,232 | | 48,871 | 62,103 |
| | 6 Rent/Facility costs | 24,548 | 8,776 | 194,660 | 227,984 |
| | 7 Other direct expenses | 38,142 | 14,433 | 172,476 | 225,051 |
| | 8 Direct expense summary Add lines 4 through 7 in column (d) ▶ | | | | 530,340 |
| | 9 Net income summary Combine lines 3 and 8 in column (d) ▶ | | | | 49,088 |

**Part III** **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/Instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (Add col (a) through col (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross revenue | | | | |
| Direct Expenses | 2 Cash prizes | | | | |
| | 3 Non-cash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | 6 Volunteer labor | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | |
| | 7 Direct expense summary Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary Combine lines 1 and 7 in column (d) ▶ | | | | |

| | | | Yes | No |
|---|---|---|---|---|
| 9 | Enter the state(s) in which the organization operates gaming activities ____ | | | |
| a | Is the organization licensed to operate gaming activities in each of these states? | 9a | | |
| b | If "No," Explain | | | |
| 10a | Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? | 10a | | |
| b | If "Yes," Explain | | | |
| 11 | Does the organization operate gaming activities with nonmembers? | 11 | | |
| 12 | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? | 12 | | |

| | | | Yes | No |
|---|---|---|---|---|
| **13** Indicate the percentage of gaming activity operated in | | | | |
| **a** The organization's facility . . . . . . . . . . . . . . . . . | **13a** | | | |
| **b** An outside facility . . . . . . . . . . . . . . . . . . . | **13b** | | | |

**14** Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ ____________________

Address ▶ ____________________

| | | Yes | No |
|---|---|---|---|
| **15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | **15a** | | |

**b** If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ____________ and the amount of gaming revenue retained by the third party ▶ $ ____________

**c** If "Yes," enter name and address

Name ▶ ____________________

Address ▶ ____________________

**16** Gaming manager information

Name ▶ ____________________

Gaming manager compensation ▶ $ ____________

Description of services provided ▶ ____________________

☐ Director/officer ☐ Employee ☐ Independent contractor

**17** Mandatory distributions

| | | Yes | No |
|---|---|---|---|
| **a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . . . . . . . . . . . . . . . . . . . . . . . . . | **17a** | | |

**b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

**Schedule I (Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments and Individuals in the U.S.

**Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.**

OMB No 1545-0047

**2008**

**Open to Public Inspection**

Name of the organization
American Public Works Association

**Employer identification number**
36-2202880

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

## Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 if additional space is needed . . . ▶ ☐

| 1(a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
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2 Enter total number of section 501(c)(3) and government organizations . . . ▶

3 Enter total number of other organizations . . . ▶

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

| **(a)** Type of grant or assistance | **(b)** Number of recipients | **(c)** Amount of cash grant | **(d)** Amount of non-cash assistance | **(e)** Method of valuation (book, FMV, appraisal, other) | **(f)** Description of non-cash assistance |
|---|---|---|---|---|---|
| STUDENT EDUCATIONAL SCHOLARSHIPS | 350 | 257,915 | | | |
| PUBLIC WORKS PROF ED SCHOLARSHIPS | 48 | 24,027 | | | |
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**Part IV** **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

See Additional Data Table

| **Identifier** | **Return Reference** | **Explanation** |
|---|---|---|
| MONITORING USE OF GRANTS IN THE UNITED STATES | SCHEDULE I, PART I, LINE 2 | APWA CHAPTERS HAVE ESTABLISHED EDUCATIONAL SCHOLARSHIPS FOR STUDENTS AND PROFESSIONALS COMPLETING PUBLIC WORKS RELATED DEGREES OR PROGRAMS EACH HAS ESTABLISHED AN APPLICATION, SELECTION, AND REVIEW PROCESS USING INDEPENDENT COMMITTEE MEMBERS STUDENTS ARE REQUIRED TO COMPLETE A POST-AWARD SURVEY, PROVIDE TRANSCRIPTS, ETC TO SUBSTANTIATE APPROPRIATE USE OF THE AWARD |
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**Schedule J**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Compensation Information

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**
**▶ Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.**

OMB No 1545-0047

**2008**

**Open to Public Inspection**

**Name of the organization**
American Public Works Association

**Employer identification number**
36-2202880

## Part I Questions Regarding Compensation

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items<br>☐ First class or charter travel<br>☑ Travel for companions<br>☐ Tax idemnification and gross-up payments<br>☐ Discretionary spending account<br>☐ Housing allowance or residence for personal use<br>☐ Payments for business use of personal residence<br>☑ Health or social club dues or initiation fees<br>☐ Personal services (e g , maid, chauffeur, chef) | | | |
| **b** | If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain | **1b** | Yes | |
| **2** | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? | **2** | Yes | |
| **3** | Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply<br>☑ Compensation committee<br>☐ Independent compensation consultant<br>☐ Form 990 of other organizations<br>☑ Written employment contract<br>☑ Compensation survey or study<br>☑ Approval by the board or compensation committee | | | |
| **4** | During the year, did any person listed in Form 990, Part VII, Section A, line 1a | | | |
| **a** | Receive a severance payment or change of control payment? | **4a** | | No |
| **b** | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | **4b** | | No |
| **c** | Participate in, or receive payment from, an equity-based compensation arrangement? | **4c** | | No |
| | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III | | | |
| | **501(c)(3) and 501(c)(4) organizations only must complete lines 5-8.** | | | |
| **5** | For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of | | | |
| **a** | The organization? | **5a** | | No |
| **b** | Any related organization? | **5b** | | No |
| | If "Yes," to line 5a or 5b, describe in Part III | | | |
| **6** | For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of | | | |
| **a** | The organization? | **6a** | | No |
| **b** | Any related organization? | **6b** | | No |
| | If "Yes," to line 6a or 6b, describe in Part III | | | |
| **7** | For persons listed in form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | **7** | | No |
| **8** | Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III | **8** | | No |

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|---|---|---|---|---|---|---|---|---|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other compensation | | | | |
| PETER KING | (i) | 262,700 | 30,000 | 26,025 | 24,210 | 37,677 | 380,612 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| KAYE SULLIVAN | (i) | 139,411 | 0 | 26,118 | 8,541 | 19,378 | 193,448 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JAMES FAHEY | (i) | 122,738 | 0 | 17,300 | 6,544 | 7,141 | 153,723 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | | | | | | | |
| | (i) | | | | | | | |
| | (ii) | | | | | | | |
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| | (ii) | | | | | | | |
| | (i) | | | | | | | |
| | (ii) | | | | | | | |
| | (i) | | | | | | | |
| | (ii) | | | | | | | |

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

| Identifier | Return Reference | Explanation |
|---|---|---|
| COMPENSATION BENEFITS | SCHEDULE J, PART I, LINE 1A | APWA PROVIDED HEALTH CLUB DUES TO PETER KING, EXECUTIVE DIRECTOR/CEO THIS BENEFIT WAS REPORTED AS TAXABLE COMPENSATION ON HIS W-2 APWA PROVIDES COMPANION TRAVEL ONLY FOR THE BOARD PRESIDENT COMPANION TRAVEL PROVIDED IS REPORTED ON FORM 1099-MISC TO THE SPOUSE |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493137034060

# SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

## Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization
American Public Works Association

Employer identification number
36-2202880

| Identifier | Return Reference | Explanation |
|---|---|---|
| OTHER PROGRAM SERVICE ACCOMPLISHMENT | FORM 990, PART III, LINE 4D | CHAPTER ACTIVITIES ON A LOCAL LEVEL, WE HAVE 64 ACTIVE CHAPTERS AND 85 ACTIVE BRANCHES WHICH PROVIDE EDUCATIONAL AND TECHNICAL TRAINING TO LOCAL MEMBERS OF APWA VIA EDUCATIONAL CONFERENCES, SEMINARS, WORKSHOPS, TECHNICAL TOURS AND SAFETY AND TRAINING COURSES ON VARIOUS TOPICS SURROUNDING THE PUBLIC WORKS PROFESSION THESE CHAPTERS ALSO PROVIDE SERVICES TO THEIR LOCAL COMMUNITIES IN THE WAY OF PUBLIC OUTREACH TO K-12 STUDENTS, SPONSORSHIPS OF LOCAL AGENCY EFFORTS AND EDUCATIONAL SCHOLARSHIPS TO UNIVERSITY STUDENTS ENTERING THE ENGINEERING OR PUBLIC WORKS RELATED FIELDS OVERALL, THE CHAPTERS AND BRANCHES CONDUCTED MORE THAN 1050 ACTIVITIES ACROSS THE UNITED STATES AND CANADA, INCLUDING THEIR MEMBERSHIP AND BUSINESS MEETINGS PROGRAM EXPENSES $7,576,632 GRANTS INCLUDED IN PROGRAM EXPENSES $280,942 PROGRAM REVENUES $7,713,015 OTHER PROGRAM SERVICES APWA PROVIDES SERVICES TO MORE THAN 29,500 MEMBERS IN NORTH AMERICA OUR CUSTOMER BASE IS MADE UP OF OVER MORE THAN 11,500 CUSTOMERS ON AN ANNUAL BASIS, IN WHICH NEARLY 20% ARE NON-MEMBERS OUR PRIMARY SERVICES INCLUDE EDUCATIONAL FORUMS, CONFERENCES, WORKSHOPS, EXHIBITS, PUBLICATIONS, TRAINING PROGRAMS AND ADVERTISING SERVICES THE RESULTS OF OUR SERVICES TO PUBLIC WORKS PROFESSIONALS EXTENDS FAR BEYOND THE INDIVIDUAL AND TRULY BENEFITS THE COMMUNITIES IN WHICH EACH OF THESE INDIVIDUALS SERVES ON A DAILY BASIS, PROVIDING SERVICES IN THE FOLLOWING AREAS EMERGENCY MANAGEMENT, ENGINEERING & TECHNOLOGY, FACILITIES & GROUNDS, LEADERSHIP & MANAGEMENT, SOLID WASTE MANAGEMENT, TRANSPORTATION, WATER RESOURCES, UTILITY AND PUBLIC RIGHT-OF-WAY AND FLEET SERVICES WE ALSO PARTNER WITH OTHER PEER ASSOCIATIONS AND ORGANIZATIONS TO EXTEND INFORMATION AND KNOWLEDGE BEYOND ON OUR OWN SERVICES PROGRAM EXPENSES $3,400,163 GRANTS INCLUDED IN PROGRAM EXPENSES $1,000 PROGRAM REVENUES $5,589,523 |

| Identifier | Return Reference | Explanation |
|---|---|---|
| MEMBERS OR STOCKHOLDERS | FORM 990, PART VI, SECTION A, LINE 6 | THE APWA IS AN ILLINOIS NOT-FOR-PROFIT CORPORATION, WITH ITS HEADQUARTERS IN MISSOURI AND SECONDARY OFFICE IN THE DISTRICT OF COLUMBIA APWA'S MEMBERSHIP GENERALLY CONSISTS OF INDIVIDUALS WHO ARE EITHER EMPLOYED BY A PUBLIC AGENCY (MUNICIPALITY) OR SERVE THE PUBLIC WORKS SECTOR ACROSS NORTH AMERICA THE ASSOCIATION IS NOT ORGANIZED FOR PROFIT, AND THEREFORE NO PART OF THE EARNINGS SHALL INURE TO THE BENEFIT OF ANY MEMBER OR OFFICER EXCEPT AS COMPENSATION FOR SERVICES RENDERED OR FOR NECESSARY EXPENSES ACTUALLY INCURRED IN THE EVENT OF A DISSOLUTION OR LIQUIDATION OF THE ASSOCIATION ANY ASSETS THEN REMAINING SHALL BE DISTRIBUTED TO AND AMONG SUCH EDUCATIONAL OR SCIENTIFIC ORGANIZATIONS HAVING A TAX-EXEMPT STATUS UNDER SECTION 501 (C) 3 OF THE INTERNAL REVENUE CODE OF 1954 AS THE BOARD OF DIRECTORS OF THE ASSOCIATION SHALL DETERMINE THE PRIVILEGES OF VOTING (ELECTING) THE MEMBERS OF THE GOVERNING BODY AND OF HOLDING OFFICE ARE LIMITED TO ACTIVE MEMBERS ACTIVE MEMBERS ARE INDIVIDUAL MEMBERS OF THE ASSOCIATION, DESIGNEES OF AGENCY OR CORPORATE MEMBERSHIPS, AND SPECIAL MEMBERS WHOSE PREVIOUS CATEGORY OF MEMBERSHIP PRIOR TO BECOMING A SPECIAL MEMBER QUALIFIED AS AN ACTIVE MEMBERSHIP ELECTIONS OR VOTES ARE MADE BY EITHER LETTER OR ELECTRONIC BALLOT OF ALL ACTIVE MEMBERS |

| Identifier | Return Reference | Explanation |
|---|---|---|
| MEMBERS OR STOCKHOLDERS MAY ELECT GOVERNING BODY | FORM 990, PART VI, SECTION A, LINE 7A | OFFICERS AND MEMBERS OF THE GOVERNING BODY ARE ELECTED (OR APPOINTED AS SPECIFIC SITUATIONS ARISE) ANNUALLY BY THE MEMBERSHIP EACH OFFICER WITH THE EXCEPTION OF THE EXECUTIVE DIRECTOR WHO SERVES AS SECRETARY, SERVES A ONE YEAR TERM THE TREASURER, WHO IS AN ACTIVE MEMBER OF THE GOVERNING BODY IS APPOINTED BY THE PRESIDENT AND RATIFIED BY THE REMAINING MEMBERS OF THE GOVERNING BODY VACANCIES ARE FILLED BY BOTH ELECTION OF THE MEMBERSHIP AND APPOINTMENT BY THE FULL GOVERNING BODY, DEPENDING UPON THE POSITION VACATED AND AMOUNT OF TIME REMAINING ON THE TERM IN SOME CASES, THE NOMINATING COMMITTEE AND CHAPTERS ARE INVOLVED IN THE PROCESS FOR DETERMINING ELIGIBLE CANDIDATES FOR THE POSITIONS THE PRESIDENT (WITH THE APPROVAL OF THE FULL GOVERNING BODY) APPOINTS THE NATIONAL NOMINATING COMMITTEE CONSISTING OF ONE ACTIVE MEMBER FROM EACH GEOGRAPHICAL REGION, THE MOST IMMEDIATE PAST PRESIDENT AND THE NEXT IMMEDIATE PAST PRESIDENT THE COMMITTEE REPORTS THE NAMES OF CANDIDATES FOR EACH POSITION TO BE VOTED UPON AT THE ANNUAL ELECTION OTHER THAN REGIONAL DIRECTOR POSITIONS, WHICH SHALL BE NOMINATED BY RESPECTIVE REGIONAL NOMINATING COMMITTEES THE PRESIDENT MAY APPOINT A REGIONAL NOMINATING COMMITTEE WHOSE MEMBERS RESIDE IN THAT RESPECTIVE REGION, REPORT THE NAMES OF EACH CANDIDATE TO BE PLACED UPON THE BALLOT FOR CONSIDERATION OF REGIONAL DIRECTOR FROM THAT REGION, SUBJECT TO THE VOTE (APPROVAL) OF THE MEMBERSHIP FROM THAT RESPECTIVE REGION DURING FISCAL YEAR 2009, THE REGION V DIRECTOR WAS APPOINTED TO A PARTIAL TERM TO COMPLETE A TERM VACATED DUE TO ELECTION OF THE EXISTING REGION V DIRECTOR TO THE PRESIDENT-ELECT POSITION |

| Identifier | Return Reference | Explanation |
|---|---|---|
| GOVERNING BODY DECISIONS SUBJECT TO APPROVAL OF MEMBERS OR STOCKHOLDERS | FORM 990, PART VI, SECTION A, LINE 7B | THE PRIVILEGES OF VOTING (ELECTING) THE MEMBERS OF THE GOVERNING BODY AND OF HOLDING OFFICE ARE LIMITED TO ACTIVE MEMBERS ACTIVE MEMBERS ARE INDIVIDUAL MEMBERS OF THE ASSOCIATION, DESIGNEES OF AGENCY OR CORPORATE MEMBERSHIPS, AND SPECIAL MEMBERS WHOSE PREVIOUS CATEGORY OF MEMBERSHIP PRIOR TO BECOMING A SPECIAL MEMBER QUALIFIED AS AN ACTIVE MEMBERSHIP ELECTIONS OR VOTES ARE MADE BY EITHER LETTER OR ELECTRONIC BALLOT OF ALL ACTIVE MEMBERS ANY PROPOSED AMENDMENT TO THE APWA BYLAWS IS SUBJECT TO APPROVAL OF THE MEMBERSHIP DURING FISCAL YEAR 2009, THERE WERE NO CIRCUMSTANCES WHICH REQUIRED A VOTE OF THE MEMBERSHIP, OUTSIDE OF THE ANNUAL ELECTIONS OF OFFICERS AND APWA BOARD OF DIRECTORS DESCRIBED ABOVE |

| Identifier | Return Reference | Explanation |
|---|---|---|
| FORM 990 REVIEW PROCESS | FORM 990, PART VI, SECTION A, LINE 10 | THE DIRECTOR OF FINANCE/CONTROLLER GATHERS INFORMATION TO PREPARE THE FORM 990 TAX RETURN THIS INFORMATION IS THEN GIVEN TO AN INDEPENDENT ACCOUNTING FIRM WHO PREPARES AND REVIEWS THE FORM 990 THE INDEPENDENT ACCOUNTING FIRM THEN PROVIDES THE ORGANIZATION'S DIRECTOR OF FINANCE/CONTROLLER AND THE EXECUTIVE DIRECTOR WITH A DRAFT OF THE FORM 990 FOR COMMENTS AND APPROVAL THE FINAL FORM 990 AND FORM 990-T WITH ALL REQUIRED SCHEDULES IS THEN PROVIDED TO ALL VOTING MEMBERS OF THE BOARD PRIOR TO FILING THE FORM 990 |

| Identifier | Return Reference | Explanation |
|---|---|---|
| CONFLICT OF INTEREST POLICY | FORM 990, PART VI, SECTION B, LINE 12C | BOARD OPERATING POLICIES ARE FORMALLY REVIEWED AND REVISED, AS NECESSARY EVERY TWO YEARS ANNUALLY, THE ORGANIZATION OBTAINS WRITTEN AFFIRMATION FROM EACH OFFICER, DIRECTOR, TRUSTEE, AND KEY EMPLOYEE AS TO THEIR COMPLIANCE WITH THE ESTABLISHED POLICY IF A CONFLICT ARISES, THE OFFICER, DIRECTOR, TRUSTEE, OR KEY EMPLOYEE ABSTAINS FROM THE VOTE OF THE CONFLICTED POSITION |

| Identifier | Return Reference | Explanation |
|---|---|---|
| COMPENSATION REVIEW OF TOP MANAGEMENT OFFICIAL | FORM 990, PART VI, SECTION B, LINE 15A | THE CEO CONTRACT WAS LAST NEGOTIATED IN JANUARY 2005 FOR A 5-YEAR TERM CONTRACT TERMS SPECIFIC TO COMPENSATION WERE NEGOTIATED IN LINE WITH THE CURRENT ASAE SALARY GUIDELINES AS WELL AS OTHER INDUSTRY COMPENSATION STUDIES AND OTHER PUBLICLY AVAILABLE DATA CONTRACT WAS NEGOTIATED BY THE EXECUTIVE COMMITTEE, PRESENTED TO THE FULL BOARD FOR AUTHORIZATION WHICH IS DOCUMENTED WITHIN THE BOARD MINUTES THE FULL BOARD PROVIDES A FORMAL EVALUATION OF THE CEO ON AN ANNUAL BASIS AT WHICH TIME THEY DETERMINE IF THE PERFORMANCE INDICATORS WERE MET AND IF ANY BONUS IS DUE, PER THE CONTRACT TERMS |

| Identifier | Return Reference | Explanation |
|---|---|---|
| COMPENSATION REVIEW OF OTHER OFFICERS AND KEY EMPLOYEES | FORM 990, PART VI, SECTION B, LINE 15B | GALLAGHER BENEFIT SERVICES PERFORMED THE REVIEW FOR KEY EMPLOYEES USING MARKET DATA A FORMAL COMPENSATION REVIEW IS PERFORMED ON A THREE-YEAR CYCLE THIS PROCESS WAS LAST COMPLETED IN 2008 |

| Identifier | Return Reference | Explanation |
|---|---|---|
| AVAILABILITY OF DOCUMENTS | FORM 990, PART VI, SECTION C, LINE 19 | THE ORGANIZATION'S BY-LAWS ARE LISTED ON THE ORGANIZATION'S WEBSITE THE CONFLICT OF INTEREST POLICY IS LISTED AS BOARD OF DIRECTOR'S POLICY BUT ONLY ACCESSIBLE TO APWA MEMBERS VIA SECURE WEBSITE LOGIN THE ORGANIZATION ALSO PUBLISHES AN ANNUAL REPORT IN THE APWA REPORTER MAGAZINE WHICH IS DISTRIBUTED BEYOND THE ORGANIZATION'S MEMBERSHIP DETAILED FINANCIALS STATEMENTS ARE NOT MADE AVAILABLE TO THE PUBLIC |

**Additional Data**

**Software ID:**
**Software Version:**
**EIN:** 36-2202880
**Name:** American Public Works Association

**Form 990, Part VII - Section Aaa**

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual Trustee or Director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| LARRY W FREVERT , PAST PRESIDENT | 10 0 | X | | X | | | | 9,581 | 0 | 0 |
| NOEL C THOMPSON , PRESIDENT | 10 0 | X | | X | | | | 2,500 | 0 | 0 |
| ELIZABETH TREADWAY , DIRECTOR REGION III | 5 0 | X | | | | | | 0 | 0 | 0 |
| JEAN-GUY COURTEMANCHE , DIRECTOR REGION I | 5 0 | X | | | | | | 0 | 0 | 0 |
| HOWARD B LAFEVER , FORMER DIRECTOR REGION II | 5 0 | X | | | | | | 0 | 0 | 0 |
| SHELBY P LASALLE , DIRECTOR REGION IV | 5 0 | X | | | | | | 0 | 0 | 0 |
| DAVID LAWRY , DIRECTOR REGION V | 5 0 | X | | | | | | 0 | 0 | 0 |
| LARRY STEVENS , DIRECTOR REGION VI | 5 0 | X | | | | | | 0 | 0 | 0 |
| JIMMY B FOSTER , DIRECTOR REGION VII | 5 0 | X | | | | | | 0 | 0 | 0 |
| ANN A BURNETT-TROISI , DIRECTOR REGION VIII | 5 0 | X | | | | | | 0 | 0 | 0 |
| DOUG J DREVER , DIRECTOR REGION IX | 5 0 | X | | | | | | 0 | 0 | 0 |
| GEORGE R CROMBIE , DIRECTOR-AT-LARGE ENVIRONMENT | 5 0 | X | | | | | | 0 | 0 | 0 |
| PATRICIA HILDEBRAND , DIRECTOR-AT-LARGE ENG & TECH | 5 0 | X | | | | | | 0 | 0 | 0 |
| DIANE LINDERMAN , DIRECTOR-AT-LARGE PW MGMT/LDRS | 5 0 | X | | | | | | 0 | 0 | 0 |
| KEN A NERLAND , DIR-AT-LARGE FLEET & FACILITY | 5 0 | X | | | | | | 0 | 0 | 0 |
| SUSAN HANN , DIRECTOR-AT-LARGE TRANSPORT | 5 0 | X | | | | | | 0 | 0 | 0 |
| LARRY KOEHLE , PRESIDENT-ELECT | 10 0 | X | | X | | | | 0 | 0 | 0 |
| EDWARD GOTTKO , DIRECTOR REGION II | 5 0 | X | | | | | | 0 | 0 | 0 |
| PETER KING , SECRETARY/ EXEC DIRECTOR / CEO | 38 0 | | | X | | | | 318,725 | 0 | 61,887 |
| KAYE SULLIVAN , DEPUTY EXEC DIRECTOR / COO | 38 0 | | | X | | | | 165,529 | 0 | 27,919 |
| TERI NEWHOUSE , DIRECTOR OF FINANCE | 38 0 | | | X | | | | 111,699 | 0 | 6,877 |
| JAMES FAHEY , DIRECTOR OF GOVERNMENT AFFAIRS | 38 0 | | | | | X | | 140,038 | 0 | 13,685 |
| ELISABETH DANIELS , DIRECTOR OF TECHNICAL SERVICES | 38 0 | | | | | X | | 100,296 | 0 | 9,740 |

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

| | Business Code | (A) Total Revenue | (B) Related or Exempt Function Revenue | (C) Unrelated Business Revenue | (D) Revenue Excluded from Tax under IRC 512, 513, or 514 |
|---|---|---|---|---|---|
| a EXHIBIT SPACE SHOW | 230,000 | 3,412,242 | 3,412,242 | | |
| b TECHNICAL PUBL | 230,000 | 965,105 | 965,105 | | |
| c REGISTRATION FEES | 230,000 | 6,787,240 | 6,787,240 | | |
| d MEMBERSHIP DUES | 230,000 | 3,709,387 | 3,709,387 | | |
| e SPONSORSHIP/REBATE INCOME | 900,099 | 943,103 | 943,103 | | |