Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

THE SONJA FOUNDATION
C/O JOHN FERGUSON-66 FIELD POINT RD
GREENWICH, CT 06830-6473

A Employer identification number: 13-7371356

B Telephone number (see the instructions): 914-421-8026

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 2,520,517.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	48,469.	48,469.	48,469.	
	4 Dividends and interest from securities	21,706.	21,706.	21,706.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-67,874.			
	b Gross sales price for all assets on line 6a 478,227.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,301.	70,175.	70,175.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	7,796.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST 1	9,529.			4,765.
	b Accounting fees (attach sch) SEE ST 2	23,465.			11,733.
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instr) SEE STM 3	250.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 4	43,488.	43,488.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	84,528.	43,488.		16,498.
	25 Contributions, gifts, grants paid PART XV	191,200.			191,200.
	26 **Total expenses and disbursements.** Add lines 24 and 25	275,728.	43,488.	0.	207,698.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-273,427.			
	b **Net investment income** (if negative, enter -0-)		26,687.		
	c **Adjusted net income** (if negative, enter -0-)			70,175.	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions))		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	303,465.	88,936.	88,936.
	3 Accounts receivable ► 118.			
	Less allowance for doubtful accounts ►	678.	118.	118.
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)	1,149,083.	1,201,589.	1,239,036.
	b Investments — corporate stock (attach schedule)	1,092,866.	1,006,095.	1,167,219.
	c Investments — corporate bonds (attach schedule)	49,073.	25,000.	25,208.
	11 Investments — land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers — see instructions Also, see page 1, item I)	2,595,165.	2,321,738.	2,520,517.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,595,165.	2,321,738.	
	30 **Total net assets or fund balances** (see the instructions)	2,595,165.	2,321,738.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	2,595,165.	2,321,738.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,595,165.
2	Enter amount from Part I, line 27a	2	-273,427.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	2,321,738.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,321,738.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	BNY MELLON-6150 SEE ATTACHMENT	P	VARIOUS	VARIOUS
b	BNY MELLON-6150 SEE ATTACHMENT	P	VARIOUS	VARIOUS
c	FIELDPOINT-2179 SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
d	BNY MELLON-CLASS ACTION LAWSUIT-6150	P	VARIOUS	VARIOUS
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	22,558.		43,710.	-21,152.
b	427,302.		452,717.	-25,415.
c	28,311.		49,674.	-21,363.
d	56.			56.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-21,152.
b				-25,415.
c				-21,363.
d				56.
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-67,874.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	-21,152.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	315,042.	2,948,708.	0.106841
2007	251,639.	3,373,226.	0.074599
2006	224,691.	3,296,999.	0.068150
2005	155,110.	2,894,297.	0.053592
2004	166,920.	1,839,117.	0.090761

2	**Total** of line 1, column (d)	2	0.393943
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.078789
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,429,176.
5	Multiply line 4 by line 3	5	191,392.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	267.
7	Add lines 5 and 6	7	191,659.
8	Enter qualifying distributions from Part XII, line 4	8	207,698.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b	1	267.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	267.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	267.
6	Credits/Payments.		
a	2009 estimated tax pmts and 2008 overpayment credited to 2009 — 6a: 946.		
b	Exempt foreign organizations – tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	946.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	0.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	679.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax ► 679. Refunded ►	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see the instructions) ► NY			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *Continued*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► CITRIN COOPERMAN & COMPANY LLP Telephone no ► 914-949-2990

Located at ► 709 WESTCHESTER AVE WHITE PLAINS NY ZIP + 4 ► 10604

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐ N/A

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions.)	2b		N/A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ .			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If 'Yes' to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN J. FERGUSON 66 FIELD POINT ROAD GREENWICH, CT 09830-6473	TRUSTEE 0	3,898.	0.	0.
GUNDMUNDUR /A. BRIGISSON , ICELAND	TRUSTEE 0	3,898.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a Average monthly fair market value of securities		1a	2,215,314.
b Average of monthly cash balances		1b	250,855.
c Fair market value of all other assets (see instructions)		1c	
d **Total** (add lines 1a, b, and c)		1d	2,466,169.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	2,466,169.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	36,993.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,429,176.
6 **Minimum investment return.** Enter 5% of line 5		6	121,459.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6				1	121,459.
2a Tax on investment income for 2009 from Part VI, line 5	2a	267.			
b Income tax for 2009. (This does not include the tax from Part VI.)	2b				
c Add lines 2a and 2b				2c	267.
3 Distributable amount before adjustments Subtract line 2c from line 1				3	121,192.
4 Recoveries of amounts treated as qualifying distributions				4	
5 Add lines 3 and 4				5	121,192.
6 Deduction from distributable amount (see instructions)				6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1				7	121,192.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	207,698.
b Program-related investments – total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	207,698.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	267.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	207,431.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				121,192.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2009.				
a From 2004 75,666.				
b From 2005 11,734.				
c From 2006 61,871.				
d From 2007 84,784.				
e From 2008 168,115.				
f **Total** of lines 3a through e	402,170.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► $ 207,698.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				121,192.
e Remaining amount distributed out of corpus	86,506.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	488,676.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	75,666.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	413,010.			
10 Analysis of line 9.				
a Excess from 2005 11,734.				
b Excess from 2006 61,871.				
c Excess from 2007 84,784.				
d Excess from 2008 168,115.				
e Excess from 2009 86,506.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 5				
Total			► **3a**	191,200.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	48,469.	
4 Dividends and interest from securities			14	21,706.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-67,874.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				2,301.	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	2,301.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1)	Cash	1a (1)		X
(2)	Other assets	1a (2)		X
b	Other transactions			
(1)	Sales of assets to a noncharitable exempt organization	1b (1)		X
(2)	Purchases of assets from a noncharitable exempt organization	1b (2)		X
(3)	Rental of facilities, equipment, or other assets	1b (3)		X
(4)	Reimbursement arrangements	1b (4)		X
(5)	Loans or loan guarantees	1b (5)		X
(6)	Performance of services or membership or fundraising solicitations	1b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

SIGN HERE

▶ Signature of officer or trustee — Date 11/8/2010 ▶ Title Trustee

Paid Preparer's Use Only

Preparer's signature ▶ — Date 11/5/10 — Check if self-employed ▶ ☐ — Preparer's Identifying number (See Signature in the instrs) N/A

Firm's name (or yours if self-employed), address, and ZIP code ▶ CITRIN COOPERMAN & COMPANY, LLP
709 WESTCHESTER AVENUE
WHITE PLAINS, NY 10604

EIN ▶ N/A

Phone no ▶ 914 949-2990

STATEMENT 1
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES-6150	$ 7,397.			$ 3,699.
LEGAL-FIELDPOINT CKING	2,132.			1,066.
TOTAL	$ 9,529.	$ 0.	$ 0.	$ 4,765.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTANT FEES=-FIELDPOINT -0616	$ 6,500.			$ 3,250.
ACCOUNTANT FEES-FIELDPOINT MONEY MARKET	5,950.			2,975.
ACCOUNTANT TAX PREPARATION FEES-6150	11,015.			5,508.
TOTAL	$ 23,465.	$ 0.	$ 0.	$ 11,733.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	$ 250.			
TOTAL	$ 250.	$ 0.	$ 0.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES-6150	$ 28,516.	$ 28,516.		
INVESTMENT FEES-FIELDPOINT CKING	14,347.	14,347.		
OTHER FEES-2179	625.	625.		
TOTAL	$ 43,488.	$ 43,488.	$ 0.	$ 0.

STATEMENT 5
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
FORDHAM UNIVERSITY ROSE HILL CAMPUS BRONX, NY 10458	N/A	501C3	COLLEGE/ EDUCATIONAL	$ 1,000.
ST. THOMAS AQUINAS COLLEGE 125 ROUTE 340 SPARKHILL, NY 10976	N/A	501C3	COLLEGE- EDUCATIONAL	5,500.
DOMINICAN SIST. FAMILY HEALTH 299 N. HIGHLAND AVENUE OSSINING, NY 10562	N/A	501C3	HEALTH CARE AGENCY/ MEDIACL	2,000.
MADISON SQ. BOYS & GIRLS CLUB 350 FIFTH AVENUE, SUITE 912 NEW YORK, NY 10118	N/A	501C3	EDUCATIONAL	2,200.
COLLEGE OF THE HOLY CROSS ONE COLLEGE STREET WORCESTER, MA 01610	N/A	501C3	EDUCATIONAL	7,500.
ORDER OF MALTA 1011 FIRST AVENUE NEW YORK, NY 10022	N/A	501C3	WELFARE OF SICK AND POOR	1,500.
SOUTHHAMPTON HOSPITAL 240 MEETING HOUSE LANE SOUTHHAMPTON, NY 11968	N/A	501C3	HEALTH	6,000.
DELASALLE SCHOOL 87 PINE STREET FREEPORT, NY 11520	N/A	501C3	EDUCATION	500.
CATHOLIC BIG BROTHERS BIG SISTERS 220 E. 4TH STREET NEW YORK, NY 10009	N/A	501C3	EDUCATION/HEALTH	16,000.
ALLIANCE FOR CANCER GENE THPY 96 CUMMINGS POINT ROAD STAMFORD, CT 06902	N/A	501C3	HEALTH	500.
ROYAL PALM CHARITIES N/A NAPLES, FL 34102	N/A	501C3	EDUCATIONAL/HEAL TH	2,500.
THE LEUKEMIA & LYMPHOMA CENTER 1311 MAMARONECK AVE. WHITE PLAINS, NY 10605	N/A	501C3	HEALTH	100.

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
CARDINAL NEWMAN SOCIETY 207 PARK AVE, SUITE B-2 FALLS CHURCH, VA 22046	N/A	501C3	EDUCATIONAL	$ 2,000.
CLEMONS PRODUCTIONS, INC P.O. BOX 7466 GREENWICH, CT 06830	N/A	501C3	EDUCATIONAL	8,000.
FRIENDS OF CLAIRE P.O. BOX 7669 GREENWICH, CT 06830	N/A	501C3	HEALTH	500.
LANDAKOTSSKOLI TUNGOTU 15 REYKJAVIK, 101 ICELAND	N/A	501C3	EDUCATIONAL	2,500.
MICKEY LIONE JR. FUND INC 84 WEST PARK PLACE, 3RD FLOOR STAMFORD, CT 06901	N/A	501C3	EDUCATIONAL	500.
ST VINCENT HOSPITAL 275 NORTH STREET HARRISON, NY 10528	N/A	501C3	HEALTH	10,000.
STURGE-WEBER FOUNDATION PO BOX 418 MT. FREEDOM, NJ 07970	N/A	501C3	EDUCATIONAL	500.
CRISTO REY 2244 S. WOLCOTT ST. CHICAGO, IL 60608	N/A	501C3	EDUCATIONAL	20,500.
FRANCISCAN FATHERS ,	N/A	501C3	RELIGIOUS	500.
QUEEN OF PEACE RESIDENCE 11030 221ST ST QUEENS VILLAGE, NY 11429	N/A	501C3	COMMUNITY/MEDICAL	1,500.
THE ENGLISH SPEAKING UNION 37 CHARLES STREET LONDON, XE	N/A	501C3	EDUCATIONAL	1,500.
THE URSULINE SCHOOL 1354 NORTH AVENUE NEW ROCHELLE, NY 10804	N/A	501C3	EDUCATIONAL	500.
MALTA HOUSE OF GOOD COUNSEL 5 PROWITT STREET NORWALK, CT 06855	N/A	PUBLIC	RELIGIOUS	1,650.

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
MULLIGAN SCHOLARSHIP/FORDHAM LAW SCHOOL 33 W. 60TH STREET NEW YORK, NY 10023	N/A	PUBLIC	EDUCATIONAL	$ 1,000.
QUEEN OF MOST HOLY ROSARY 196 CENTENNIAL AVE. ROOSEVELT, NY 11575	N/A	PUBLIC	RELIGIOUS	10,000.
IONA COLLEGE 715 NORTH AVENUE NEW ROCHELLE, NY 10801	N/A	PUBLIC	EDUCATIONAL	2,500.
FIDELIS 95-25 QUEENS BLVD REGO PARK, NY 11374	N/A	PUBLIC	MEDICAL	1,000.
GREENWICH CATHOLIC SCHOOL 471 NORTH STREET GREENWICH, CT	N/A	PUBLIC	EDUCATIONAL	1,000.
ALS ASSOCIATION FLORIDA CHAPTER 3242 PARKSIDE CENTER CIRCLE TAMPA, FL 33619	N/A	PUBLIC	MEDICAL	2,500.
GUADALUPE CENTERS 1015 AVERIDA CESAR E CHAVEZ KANSAS CITY, MO 64108	N/A	PUBLIC	COMMUNITY	12,500.
AVE MARIA UNIVERSITY 5050 AVE MARIA BLVD AVE MARIA, FL 34142	NONE	501C3	EDUCATIONAL	11,500.
BOYS HOPE GIRLS HOPE PO BOX 80477 STATEN ISLAND, NY 10308	NONE			2,000.
BRIDGEHAMPTON FIRE DEPT 64 SCHOOL STREET BRIDGEHAMPTON, NY 11932	NONE	501C3	COMMUNITY	1,000.
BRIDGEHAMPTON HISTORICAL SOCIETY PO BOX 977 BRIDGEHAMPTON, NY 11932	NONE	501C3	COMMUNITY	500.
CALVARY HOSPITAL 1740 EASTCHESTER ROAD BRONX, NY 10461	NONE	501C3	HEALTH	2,000.

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
CATHOLIC SCHOOL OF ICELAND-LANDAKSSK , ICELAND				$ 5,000.
CHILDREN'S MEDICAL RESEARCH PO BOX 70 WESTERN SPRINGS, IL 60558	NONE	501C3	HEALTH	2,500.
COUNCIL OF MAJOR SUPERIOS OF WOMEN PO BOX 4467 WASHINGTON, DC 20017	NONE	5013C	COMMUNITY	1,000.
CROSS INTERNATIONAL CATHOLIC OUTREACH 370 W CAMINO GARDENS BLVD BOCA RATON, FL 33427	NONE	501(C)	RELIGIOUS	1,000.
CRUSADERS ATHLETIC FOUNDATION ,	NONE	501C3	EDUCATIONAL	1,000.
DOMINIC CONETTA GHS SCHOLARSHIP FUND ONE LAFAYETTE COURT GREENWICH, CT 06830	NONE	501C3	EDUCATIONAL	1,000.
FAIRFIELD COMMUNITY FOUNDATION 5350 PLEASANT AVENUE FAIRFIELD, CT	NONE	501C3	COMMUNITY	1,000.
FENWICK SOCIETY COLLEGE STREET WORCESTER , MA 01610	NONE	501C3	EDUCATIONAL	1,000.
GREENWICH SYMPHONY PO BOX 35 GREENWICH, CT 06836	NONE	501C3	COMMUNITY	500.
GREGORIAN UNIVERSITY FOUNDATION 106 WEST 56TH STREET NEW YORK, NY 10019	NONE	501(C)	EDUCATIONAL	10,000.
HOLY CROSS COLLEGE STREET WORCESTER, MA 01610	NONE	501C3	EDUCATIONAL	5,000.
HELP HOSPITALIZED VETERANS 36585 PENFIELD LANE WINCHESTER, CA 92596	NONE	501C3	COMMUNITY	500.

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
INNER-CITY FOUNDATION 238 JEWETT AVENUE BRIDGEPORT, CT 06606	NONE	501C3	COMMUNITY	$ 3,000.
JAMES W LOUGHRAN MEMORIAL FUND ,	NONE	501C3	COMMUNITY	500.
KIDS IN CRISIS ONE SALEM STREET COS COB, CT 06807	NONE	5013C	COMMUNITY	750.
MANHATTAN COLLEGE RIVERDALE, NY 10471	NONE	501C3	EDUCATIONAL	2,500.
NAPLES BOTANICAL GARDEN 4820 BAYSHORE DRIVE NAPLES, FL 34112	NONE	501C3	COMMUNITY	1,000.
SISTERS OF LIFE 586 MCLEAN AVENUE YONKERS, NY 10705	NONE	5013C	HEALTH	1,000.
SOUTHAMPTON FRESH AIR HOME 36 BARKERS ISLAND ROAD SOUTHAMPTON, NY 11968	NONE	501C3	COMMUNITY	1,000.
ST PAUL'S CATHEDRAL TRUST IN AMERICA 599 LEXINGTON AVENUE NEW YORK, NY 10022	NONE	5013C	RELIGIOUS	1,000.
SUSAN B ANTHONY LIST 1707 L STREET NW SUITE 750 ,	NONE	501C3	HEALTH	500.
TAEKNISKOLINN , SKOLAVORDUHOLTI 101 ICELAND	NONE			500.
THE MIAMI PROJECT TO CURE PARALYSIS PO BOX 016960 MIAMI, FL 33101	NONE	501C3	MEDICAL	1,000.
THE ART INSTITUTE OF LOS ANGELES 2900 31 STREET SANTA MONICA, CA 90405	NONE	5013C	EDUCATIONAL	5,000.
GOLF SUPPORTS OUR TROOPS 1 KLARA COURT MADISON, NJ 07940	NONE	5013C	COMMUNITY	1,000.
			TOTAL	$ 191,200.

THE SONJA FOUNDATION

Forms 1099 for 2009

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No 1545-0715) **Copy B, For Recipient**

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B In addition, we report security description, cusip #, and units We do not report your net gains and losses to the Internal Revenue Service An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital

A schedule of your net gains and losses is shown below Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost Please review these carefully when preparing your return

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
DIAMONDS TR UTS	252787106	200	09/29/08	03/02/09	$13,859 94	$21 248 00	$-7 388 06
MARKET VECTORS AGRIBUSINESS	57060U605	200	04/28/08	03/02/09	$5,067 99	$12,638 00	$-7 570 01
ISHARES DJ US HOME CONSTRUCT	464288752	500	04/15/08	03/02/09	$3,630 02	$9,824 20	$-6,194 18
Total short term gain/loss. Report on Form 1040, Schedule D line 1, Column d, e and f					$22,557 95	$43,710 20	$-21,152 25

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
UNITED STATES TREAS NTS	912828BV1	50000	11/09/05	01/15/09	$50,000 00	$49 710 13	$289 87
UNITED STATES TREAS NTS	912828BV1	50000	05/04/05	01/15/09	$50,000 00	$49,684 64	$315 36
UNITED STATES TREAS NTS	912828BV1	100000	08/16/04	01/15/09	$100 000 00	$100,031 25	$-31 25
UNITED STATES TREAS NTS	912828BV1	50000	01/26/04	01/15/09	$50,000 00	$50,531 25	$-531 25
SPDR GOLD TR	78463V107	500	LT	01/31/09	$14 53	$0 00	$14 53

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
SPDR GOLD TR	78463V107	500	LT	02/28/09	$13 30	$0 00	$13 30
EMC CORP(MASS) USD 0 01	268648102	200	03/22/02	03/02/09	$2,086 00	$2,309 00	$-223 00
ISHARES MSCI EMERGING MKTS INDEX FD	464287234	300	07/28/05	03/02/09	$6,159 00	$7,652 00	$-1 493 00
ISHARES MSCI EMERGING MKTS INDEX FD	464287234	300	05/17/06	03/02/09	$6,159 00	$9,897 00	$-3 738 00
MIDCAP SPDR TR UNIT SER 1	595635103	200	12/06/06	03/02/09	$15,905 91	$30,035 00	$-14,129 09
SPDR GOLD TR	78463V107	100	01/23/06	03/02/09	$9,198 95	$5,561 00	$3 637 95
THE BANK OF NEW YORK MELLON CORP	064058100	28 75	03/07/05	03/02/09	$636 81	$955 38	$-318 57
THE BANK OF NEW YORK MELLON CORP	064058100	188 5	10/28/04	03/02/09	$4,175 27	$6,444 00	$-2 268 73
THE BANK OF NEW YORK MELLON CORP	064058100	282 75	07/27/04	03/02/09	$6,262 90	$8,520 00	$-2,257 10
MC DONALDS CORPORATION COMMON	580135101	100	06/16/04	03/02/09	$5,190 97	$2,677 00	$2,513 97
PRAXAIR INC	74005P104	100	04/15/04	03/02/09	$5,532 97	$3,842 00	$1 690 97
STATOIL ASA SPONSORED ADR	85771P102	600	08/15/05	03/02/09	$9,552 61	$14 346 00	$-4,793 39
STATOIL ASA SPONSORED ADR	85771P102	400	03/14/06	03/02/09	$6,368 40	$10,580 00	$-4,211 60
SPDR GOLD TR	78463V107	400	LT	03/31/09	$10 52	$0 00	$10 52
SPDR GOLD TR	78463V107	400	LT	04/30/09	$11 81	$0 00	$11 81
SPDR GOLD TR	78463V107	400	LT	05/31/09	$11 63	$0 00	$11 63
SPDR GOLD TR	78463V107	400	LT	06/30/09	$11 63	$0 00	$11 63
UNITED STATES TREAS NTS	912828GV6	100000	06/26/07	06/30/09	$100,000 00	$99,941 15	$58 85
Total long term gain/loss. Report on Form 1040, Schedule D, line 8, Column d, e, and f					$427,302 21	$452,716 80	$-25,414 59

REPORTING PERIOD **09/30/09 through 12/31/09**
ACCOUNT NAME Sonja Foundation Trust
ACCOUNT NUMBER H18-602179
PERFORMANCE INCEPTION 07/10/09

YTD Realized Gains/Losses

Security Description	Symbol/ CUSIP	Quantity	Open Date	Close Date	Cost Unit	Cost Total	Proceeds Unit	Proceeds Total	Gain/Loss
LONG-TERM									
GENERAL ELECTRIC CO COM	369604103	150.000	03/22/02	11/02/09	37.51	5,626.50	14.41	2,161.70	-3,464.80
GENERAL ELECTRIC CO COM	369604103	150.000	04/07/03	11/02/09	28.41	4,261.00	14.41	2,161.70	-2,099.30
GENERAL ELECTRIC CO COM	369604103	100.000	04/15/04	11/02/09	30.54	3,054.00	14.41	1,441.13	-1,612.87
GENERAL ELECTRIC CO COM	369604103	100.000	04/18/05	11/02/09	35.77	3,577.00	14.41	1,441.13	-2,135.87
POWERSHARES EXCHANGE TRADED FD TR WILDER	73935X500	500.000	11/27/07	08/12/09	22.31	11,155.00	10.37	5,185.86	-5,969.14
POWERSHARES EXCHANGE TRADED FD TR WATER	73935X575	1,000.000	12/24/07	08/12/09	22.00	22,000.00	15.92	15,919.59	-6,080.41
TOTAL LONG-TERM						49,673.50		28,311.11	**-$ 21,362.39**
TOTAL REALIZED GAINS/LOSSES									**-$ 21,362.39**

Performance inception date is the date from which your performance and the benchmark performance is calculated Your Performance Inception Value is the value of your holdings on this date
This report should not be relied upon for tax purposes
Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns Please note that the adjusted cost basis on some fixed income securities held in your account may be inaccurate When this can be determined, the adjusted cost basis will be marked as N/A.

Form **8868**
(Rev April 2009)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Type or print

File by the due date for filing your return See instructions

Name of Exempt Organization	Employer identification number
THE SONJA FOUNDATION	13-7371356
Number, street, and room or suite number If a P O box, see instructions	
C/O JOHN FERGUSON-66 FIELD POINT RD	
City, town or post office, state, and ZIP code For a foreign address, see instructions	
GREENWICH, CT 06830-6473	

Check type of return to be filed (file a separate application for each return).

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (section 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ► CITRIN COOPERMAN & COMPANY LLP

Telephone No. ► 914-949-2990 FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► [X] calendar year 20 09 or

► [ ] tax year beginning _____, 20 ___, and ending _____, 20 ___

2 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 267.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 946.
c Balance Due. Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . ► [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Name of Exempt Organization THE SONJA FOUNDATION	Employer identification number 13-7371356
File by the extended due date for filing the return See instructions	Number, street, and room or suite number If a P O box, see instructions CITRIN COOPERMAN & COMPANY, LLP 709 WESTCHESTER AVENUE	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions WHITE PLAINS, NY 10604	

Check type of return to be filed (File a separate application for each return)

[ ] Form 990	[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 6069
[ ] Form 990-BL	[ ] Form 990-T (section 401(a) or 408(a) trust)	[ ] Form 4720	[ ] Form 8870
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ► CITRIN COOPERMAN & COMPANY LLP
  Telephone No ► 914-949-2990 FAX No ►
- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15 , 20 10

5 For calendar year 2009 , or other tax year beginning , 20 , and ending , 20 .

6 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ALL THE INFORMATION REQUIRED TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 267.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 946.
c Balance Due. Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instrs	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ► Title ► Date ►